

Notice of Public Meeting San Diego River Conservancy

A public meeting of the Governing Board of
The San Diego River Conservancy
will be held Thursday,
March 12, 2015
2:00 pm – 4:00 pm

Meeting Location

County of San Diego Administration Center (CAC)
1600 Pacific Highway, Room 302
San Diego, California 92101

Tele-Conference Location

Natural Resources Agency 1416 Ninth Street, Room #1311 Sacramento, CA 95814	Department of Finance State Capitol, Room 1145 Sacramento, CA 95814
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Contact: Kevin McKernan
(619) 645-3183

Meeting Agenda

The Board may take agenda items out of order to accommodate speakers and to maintain a quorum, unless noted as time specific.

1. Roll Call

2. Approval of Minutes (*ACTION*)

Consider approval of minutes for the January 8th, 2015 meeting.

3. Public Comment

Any person may address the Governing Board at this time regarding any matter within the Board's authority. Presentations will be limited to three minutes for individuals and five minutes for representatives of organizations. Submission of information in writing is encouraged. The Board is prohibited by law from taking any action on matters that are discussed that are not on the agenda; no

adverse conclusions should be drawn by the Board's not responding to such matters or public comments.

4. **Chairperson's and Governing Board Members' Report (*INFORMATIONAL/ACTION*)**
5. **Deputy Attorney's General Report (*INFORMATIONAL*)**
6. **Kinder Morgan status update (*INFORMATIONAL*)**

Presentation:

Sean McClain, State Regional Water Quality Control Board

7. **City of San Diego – San Diego River Park project update (*INFORMATIONAL/ACTION*)**

Presentation:

Craig Hooker/Robin Shifflet, City of San Diego

8. **Sycamore Creek Property Acquisition (*ACTION*)**

Presentation:

Kevin McKernan, Executive Officer

The Board will consider adoption of Resolution 15-03 authorizing the Executive Officer to negotiate the potential acquisition of real property consisting of 3.47 acres (APN 383-070-16) of riparian habitat along Sycamore Creek in Santee. The resolution also authorizes the Executive Officer to request SDRC Prop 84 set-aside funds from State Coastal Conservancy and/or any other applicable grant programs in an amount not to exceed \$200,000. The purpose of the acquisition is to ensure the long-term restoration of a portion of Sycamore Creek, a tributary to the San Diego River.

9. **Board Approval San Diego River Conservancy Draft Prop 1 Guidelines for public review (*INFORMATIONAL/ACTION*)**

Presentation:

Kevin McKernan, Executive Officer

The Board will consider a motion to approve SDRC Draft Prop 1 Guidelines to be posted for 30 days in advance of public workshops

10. **Executive Officer's Report (*INFORMATIONAL / ACTION*)**

The following topics may be included in the Executive Officer's Report. The Board may take action regarding any of them:

Form 700 due to the Conservancy by March 25, 2015

11. Next Meeting

The next scheduled Board Meeting will be held Thursday, May 14, 2015, 2:00-4:00 p.m.

12. Adjournment

Accessibility

If you require a disability related modification or accommodation to attend or participate in this meeting, including auxiliary aids or services, please call Kevin McKernan at 619-645-3183.

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: 1

SUBJECT: ROLL CALL AND INTRODUCTIONS

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: **2**

SUBJECT: **APPROVAL OF MINUTES (ACTION)**
The Board will consider adoption of the **January 8, 2015**
public meeting minutes.

PURPOSE: The minutes of the **January 8, 2015** Board Meeting are
attached for review.

RECOMMENDATION: Approve minutes

SAN DIEGO RIVER CONSERVANCY (SDRC)

Minutes of January 8, 2015 Public Meeting

(Draft Minutes for Approval on March 12, 2015)

SDRC Board Chair, Ben Clay called the January 8, 2015, meeting of the San Diego River Conservancy to order at approximately 2:04 p.m.

1. Roll Call

Members Present

Bryan Cash	Natural Resources Agency, Alternate Designee(via phone)
Eraina Ortega	Department of Finance, Alternate Designee (via phone)
Brent Eidson	Mayor, City of San Diego, Designee
Scott Sherman	Councilmember, City of San Diego, District 7
Dianne Jacob	Supervisor, County of San Diego, Second District
Ben Clay, Chair	Public at Large
Ann Haddad	Public at Large (arrived 2:20 pm)
Andrew Poat	Public at Large
Gary Strawn	San Diego Regional Water Quality Control Board

Absent

Ruth Hayward	Public at Large
Todd Gloria	Council President, City of San Diego, District 3
John Donnelly	Wildlife Conservation Board
Vacant	Department of Parks and Recreation, Designee

Staff Members Present

Kevin McKernan	Executive Officer
Julia Richards	Administrative Services Manager
Hayley Peterson	Deputy Attorney General

Hayley Peterson swore in the Conservancy's new Board Member, Councilmember Scott Sherman.

2. Approval of Minutes

Andrew Poat made a motion to approve the minutes for the San Diego River Conservancy's November 13, 2014, public meeting, which was seconded by Brent Eidson and approved 6-0-1 (Ayes: Cash, Eidson, Ortega, Clay, Haddad and Poat; Sherman abstained)

3. Public Comment (*INFORMATIONAL*)

Craig Hooker, Park Designer for the City of San Diego (the city) provided a brief update on planning for the San Diego River Park.

- The city is working with Stephan Vance from SANDAG on two pathway segments going through Qualcomm Stadium parking lot and Carlton Oaks Golf Course. Public workshops were held for each in 2014 and well attended.
- The Union Tribune's redevelopment project will provide a section of the pathway and a pocket park. The Environmental Impact Report for this project will be out in Spring 2015.
- In addition the city is also working on an update to the Mission Trails Regional Park Master Plan. The city has identified locations for the pathway through the park. Currently at Mission Trails Regional Park 80% of the pathway exists leaving a remainder of 20% to be designed and built, and additional signage added.
- He is also working with the San Diego River Park Foundation in Mission valley and the development of the Discovery Center and segment of pathway on that site.
- In 2015, the city anticipates working on redevelopment for Town & Country and River Walk Golf Course in Mission Valley. The golf course is a very large open space within Mission Valley along the river, but they have not submitted plans yet. He said those two projects provide a critical link within the pathway provided for in the San Diego River Park Master Plan.

Then he asked if there were any questions.

Ben Clay stated he really appreciates Craig being here to give the San Diego River Conservancy Board Members a status update. He explained the problem is addressing the Conservancy under public comment does not allow the Board Members to ask questions. The Conservancy would like to put the City of San Diego on as a standing report on our agenda. There is a lot of good work being done. Because the size and scope of these projects on the San Diego River, the Executive Officer will put the City of San Diego as an informational item at the next board meeting. Then the board can ask questions and receive detailed information on the timing and status of these projects. This will allow the Board to have a better understanding of the planning progress at the San Diego River Park.

Brent Eidson supported having the City of San Diego being a standing item on the Conservancy's agenda. He would like to suggest that Craig Hooker or Robin Shifflet provide a presentation about all of projects taking place in the San Diego River Park. Then at the following meeting the city could provide updates on projects.

Ben Clay explained he also sits on the Qualcomm Advisory Board and discussing the river park trail alignment and making sure it does not interfere with other operations. There are a lot of good and very interesting things happening at the river park. He thanked Craig Hooker for coming.

4. Chairperson's and Governing Board Members' Report (*INFORMATIONAL/ACTION*)

Resolution in appreciation of Lorie Zapf's service on the San Diego River Conservancy's Governing Board

Ben Clay asked to start a tradition today for departing Board Members. The Conservancy will capture image of the San Diego River to thank them for their service.

A staff person on behalf of Council Member Zapf expressed her regret she could not make it today. Her staff said she enjoyed working on this board and making the river better.

Andrew Poat stated that elected official have lots of responsibilities. They are very knowledgeable and bring a lot to the discussions and are an essential part of this Conservancy. He wanted to thank her for her service on this board.

Andrew Poat made a motion to approve SDRC Resolution 15-01 which was seconded by Dianne Jacob and approved 7-0 (Ayes: Cash, Eidson, Ortega, Sherman, Clay, Haddad and Poat)

5. Deputy Attorney's General Report

No report.

6. Election of Officers for San Diego River Conservancy's Governing Board (*ACTION*)

Kevin McKernan introduced the next item on the agenda. Every two years the Conservancy's statute calls of election of officers. He opened up the floor for nominations

Andrew Poat made a motion to nominate Ben Clay for Chair and Ruth Hayward for Vice Chair of the San Diego River Conservancy's Governing Board which was seconded by Dianne Jacob, approved 7-0 (Ayes: Cash, Eidson, Ortega, Sherman, Clay, Haddad and Poat)

Kevin McKernan congratulated Ben. He said Ben Clay and Ruth Hayward have been an excellent chair and vice chair of the San Diego River Conservancy with a wealth of knowledge, resources, and connections locally and statewide. He looks forward to serving under their guidance for another two years.

7. San Diego River Trail - Flume Trail Extension project (*ACTION*)

Kevin McKernan

The Conservancy gave a grant to the County of San Diego (the county), Department of Parks and Recreation to design and build a trail and trailhead ("the project") on the eastern section of the flume. Things have been moving along with the trail project in Lakeside's El Monte Valley. In partnership the Conservancy, the County and Helix Water District plan to build a switchback trail from Lake Jennings Campground to the old pump house on property. He has been in discussions Helix Water District's about access and use of their property for this project.

He explained the two components of Resolution 15-02:

1. Previously the Board approved Resolution 14-01 for this project with a \$200,000 grant from the Natural Resources Agency. This resolution proposed today would increase the amount allowed to be received from Resources to \$300,000. The SDRC has experience cost-savings in other projects through Proposition 40. He would like approval to request any future residual funds from Prop 40 project be moved into this project.
2. SDRC has also been awarded an additional \$110,000 from the San Diego Foundation to assist with project construction and requests authorization to receive these funds in support of this project.

Dianne Jacob made a motion to approve Resolution 15-02 which was seconded by **Scott Sherman**, and approved 7-0 (Ayes: **Cash, Eidson, Ortega, Sherman, Clay, Haddad and Poat**)

Kevin McKernan added a biological resources report was completed and the County is working on trail alignment, next is design, permitting, and construction. He appreciates the good work done by the County of San Diego Parks and Recreation staff.

8. Kinder Morgan status update (*INFORMATIONAL*) *POSTPONED*

Presentation:

Sean McClain, State Regional Water Quality Control Board

Gary Strawn informed the board that Sean McClain will not be able to attend today. He responded to a report from the City who is dredging Murphy Creek below the Kinder Morgan site and dug up items that needed to be looked at today. He said for Kinder Morgan the actual pumping and removal of toxicity was completed one year ago and State Regional Water Quality Control Board has been monitoring. It is a success story but he wanted to bring in Sean to answer any questions. The equipment is there and visible, but it is not currently in use.

9. Executive Officer's Report (*INFORMATIONAL / ACTION*)

The following topics may be included in the Executive Officer's Report. The Board may take action regarding any of them:

Sycamore Creek restoration update (video) to see go to <http://sdrc.ca.gov>

Kevin McKernan stated we are thankful to Padre Dam Water District because the company hired Sky Scenes to create a before & after video aerial of the project. He described viewing the video and seeing Palms on left, stumps on right in the side by side before and after video. He also noted the vegetation that was left in place are native species and now the creek can be seen.

Dianne Jacobs commented that was a great way to present this project.

Ben Clay agreed and said invasive non-native plants are a big issue for water districts. Also, Brian Jones was very appreciative of SDRC's project.

Andrew Poat asked what is required to control the invasive plants. How is this paid for?

Kevin McKernan discussed maintenance controls for the invasive is to cut and remove plant biomass and successive herbicide treatments. For the Arundo the important part is to kill root mass. In 2009 invasives were removed from Carlton Oaks Golf Course and followed up with herbicide treatments. Five years later on that site native vegetation filled in those areas previously infested with non-native invasive plants.

Kevin McKernan further, funding is provided by the San Diego River Conservancy, California Natural Resources Agency, SANDAG, and State Coastal Conservancy. He noted for Sycamore Creek, besides controlling the invasive infestation, there are a few other things that need to be addressed for restoration. There is an unnatural amount of water in this channel year round. Right now water sources are not known but could be elevated ground water, urban runoff or leakage from the lakes. North of Santee Lakes the houses the creekbed becomes an open, dry channel. There are sycamore trees and other drought tolerant vegetation in that area. The Arundo there is so stressed it is dying because there is not a lot of groundwater.

Brent Eidson was recently in the area of the dry creek and noticed that there is a clear line where the Arundo stops growing. As he walked he saw Arundo near the houses, but it stopped growing when the houses disappeared. He recognized it is probably caused by irrigation. A long term study to determine where the water is coming from and improve street drainage in those areas would be beneficial.

Kevin McKernan stated that with the invasives removed now people in the neighborhoods adjacent to this area can see the lakes. In addition the storm water out falls can be seen as well as the pipes from Santee Lakes. Sycamore Creek could also serve as a wildlife corridor linkage, he has seen mule deer coming through the Carlton Oaks Golf Course to the pond in Mast Park West. From a water quality perspective this project has lowered the risk of flood and fire for the creek for Padre Dam Water District and the adjacent neighborhood. Also, when the biomass is reduced, then there is little material left to fuel a fire.

Gary Strawn shared some of the storm water rules being worked on for non-rain events and amount of runoff. He lives on the other side of the canyon. The first residents in the area probably planted palms and eucalyptus. What about educating neighbors about what not to plant. It is a logical, slow continuing process. He has agreed that wildlife is returning to this area. He has seen ducks, egrets and other birds in the creek lately. He pointed out a natural event of the river in the summer is dries out, which is good because it helps control the growth of the invasive plants.

Andrew Poat asked if there was a way to inform people about what plants are native.

Gary Strawn responded there is a lot of information out there about invasive plants.

Ben Clay said that was an interesting question. The County Water Authority and City of San Diego websites talk about drought tolerance. How can we make sure they are native species so they don't end up downstream?

Kevin McKernan responded that once the big work is done the San Diego River Park Foundation and other volunteer organizations are able to maintain the weeds and control new growth of invasive plants.

Proposition 1 (Water Bond) Guidelines

Kevin McKernan reviewed the process to approve Prop 1 guidelines for the Conservancy, including the following steps

- SDRC board recommendation
- Post document for 30 days before public workshop
- Public Workshops: Mission Valley and Santee/Lakeside
- Incorporate comments
- Review/approval by Secretary of NRA
- SDRC Board approval
- July 1, 2015 call for projects
- Field or site visit
- Applications due August or September, 2015

The Conservancy staff will keep board updated as staff receives applications for grants.

He also reviewed other items in the guidelines including an overview of Chapter 6 of Proposition 1 which sets forth 13 specific purposes for the allocation of funds to the Conservancy, the Conservancy's required project selection criteria, eligible grantees, project eligibility, promotion and implementation of State Plans and evaluation criteria and measurable results.

Ben Clay said the tough part is the metrics and how do we measure. How do we evaluate the efficiencies of projects?

Kevin McKernan recounted he met with the County Water Authority, Integrated Region Water Management regarding their Prop 84 program. They have an existing process and criteria for bringing in integrated partners. The group is very water supply orientated. One part of the Conservancy's application process is all grant applications must include a monitoring and reporting component that explains how the effectiveness of the project will be measured and reported. The monitoring and reporting component will vary depending on the nature of the project.

Brent Eidson offered the City of San Diego subject matter experts if the Conservancy would like to use them for project evaluation. They are very diverse and cover many subject areas. He asked if the city would be able to provide an expert even if another City Department is applying for a grant. They would like to fill the role if it is possible.

Kevin McKernan responded possibly. He is aware that it is allowed under SANDAG's EMP program as long as the City of San Diego cannot be expert and submit a project at same round, same project, same time. When evaluating the submissions, for those projects not selected the Conservancy will provide feedback to what is missing or not addressed.

Kevin McKernan reported to the Board he requested \$3M appropriation for the first year starting July 1, 2015, this includes the hiring of one additional staff member for the Conservancy.

Andrew Poat asked how much time is available to spend the Prop 1 money?

Bryan Cash clarified the Conservancy has three years to get contract encumbered and two years to spend.

Ben Clay thanked the board for positive feedback.

Bryan Cash asked if the guidelines will come before the board again before they are posted for 30 days. He explained generally what happens is draft guidelines go before the Board to approve before public comment. Then the Conservancy will incorporate changes made from public comments and then it goes back to the Board for approval of official guidelines which are finally approved by Natural Resources Agency's Secretary.

Kevin McKernan, the core for guidelines exists within the document provided today. He clarified the guidelines were for information today, not an action item.

Ben Clay proposed at the next meeting, the Board votes on the draft guidelines. If the Board likes the way they look or want to make any changes before they are posted or go out to public comments, they may do so.

Andrew Poat said he was involved in the mental health guidelines and this is similar to the process used. They provided draft guidelines for Board approval before going out for public comments. He said it is at the board where the policy decisions will be made.

Ban Clay summed up the next steps. He and Kevin will go back through the guidelines, so everyone knows where we are going. We will provide draft guidelines for review to the Board by the next meeting.

Dianne Jacob asked how much control does this Board have to change these guidelines. She acknowledged the board has a framework and language and can go beyond that.

Bryan Cash explained the Conservancy may narrow the focus of the statute but cannot expand past bond language.

Ben Clay added good comment, Byran. Thank you.

Bryan Cash asked if the Conservancy will bring the guidelines back to the Board Members for approval.

Ben Clay responded yes the Conservancy will have the guidelines for Board approval at the next board meeting in March.

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: **3**

SUBJECT: **PUBLIC COMMENT**

PURPOSE: Any person may address the Governing Board at this time regarding any matter within the Board's authority. Presentations will be limited to three minutes for individuals and five minutes for representatives of organizations. Submission of information in writing is encouraged. The Board is prohibited by law from taking any action on matters that are discussed that are not on the agenda; no adverse conclusions should be drawn by the Board's not responding to such matters or public comments.

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: 4

SUBJECT: **CHAIRPERSON'S AND GOVERNING BOARD
MEMBERS' REPORTS (*INFORMATIONAL*)**

PURPOSE: These items are for Board discussion only and the Board
will take no formal action.

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: 5

SUBJECT: **DEPUTY ATTORNEY'S GENERAL REPORT
(INFORMATIONAL)**

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: **6**

SUBJECT: **KINDER MORGAN STATUS UPDATE (*INFORMATIONAL*)**

Presentation:

Sean McClain, State Regional Water Quality Control Board

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: 7

SUBJECT: CITY OF SAN DIEGO – SAN DIEGO RIVER PARK
PROJECT UPDATE (*INFORMATIONAL/ACTION*)

Presentation:

Craig Hooker/Robin Shifflet, City of San Diego

Meeting of March 12, 2015

ITEM: **8**

SUBJECT: **SYCAMORE CREEK PROPERTY ACQUISITION
(ACTION)**

The Board will consider adoption of Resolution 15-03 authorizing the Executive Officer to negotiate the potential acquisition of real property consisting of 3.47 acres (APN 383-070-16) of riparian habitat along Sycamore Creek in Santee. The resolution also authorizes the Executive Officer to request SDRC Prop 84 set-aside funds from State Coastal Conservancy and/or any other applicable grant programs in an amount not to exceed \$200,000. The purpose of the acquisition is to ensure the long-term restoration of a portion of Sycamore Creek, a tributary to the San Diego River.

Presentation:

Kevin McKernan, Executive Officer

Recommendation: Approve Resolution 15-03

Resolution No: 15-03

**RESOLUTION OF THE GOVERNING BOARD OF
THE SAN DIEGO RIVER CONSERVANCY**

**AUTHORIZING THE ACQUISITION OF 3.47 ACRES ALONG SYCMORE CREEK IN SANTEE
FOR LONG-TERM RESTORATION AND PRESERVATION**

WHEREAS, the mission of the San Diego River Conservancy is to further the goals of its enabling legislation by conserving and restoring the lands and waters of the San Diego River watershed for the enjoyment of present and future generations; and

WHEREAS, the acquisition of land along the San Diego River and its tributaries for the purpose of restoration and preservation (the project) is consistent with San Diego River Conservancy's Strategic Plan Update (2012-1017), Program 1: *Conserve Land Along the San Diego River*, Program 3A: *Preserve and Restore Natural Resources, Remove Invasive Non-Native Plants and Restore the Land* and Program 4: *Enhance Water Quality and Natural Flood Conveyance*; and

WHEREAS, the project is consistent with and advances the goals of the California Water Action Plan, Action 4 *Protect and Restore Important Ecosystems*; and

WHEREAS, the project is consistent with and advances the goals of the State Coastal Conservancy's Strategic Plan, Objective 5d: *Implement projects that preserve, enhance, coastal watersheds and floodplains*; and

WHEREAS, the Legislature and Governor of the State of California have provided Proposition 84 (The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006) funds to the State Coastal Conservancy for projects approved by the San Diego River Conservancy; and

WHEREAS, the acquisition project is 3.47 acres (APN 383-070-16) of riparian habitat located along Sycamore Creek, a key tributary to the San Diego River; and

WHEREAS, the San Diego River Conservancy, has invested significant resources into the first phase of a larger restoration project on Sycamore Creek including the subject parcel through the removal and control of invasive non-native plant species.

NOW, THEREFORE, BE IT RESOLVED that the San Diego River Conservancy's Governing Board:

1. Authorizes its Executive Officer to apply for and receive up to \$200,000 in grant funds from State Coastal Conservancy, Proposition 84 San Diego River Conservancy set aside funds for the San Diego River Conservancy's Land Acquisition and Invasive Non-Native Plant Control and Restoration Program and/or any other available grant programs.
2. Funds authorized are to provide for property appraisals, due diligence, property acquisition and related costs.
3. Appoints the Executive Officer, or designee, as an agent to execute all applications, agreements, sub-grants, sub-contracts and other necessary documents for completion of the aforementioned acquisition project.
4. Authorizes the Executive Officer to accept the subject property on behalf of the San Diego River Conservancy or assign ownership to another eligible party for conservation purposes

Approved and adopted the 12th day of March 2015. I, the undersigned, hereby certify that the foregoing Resolution Number 15-03 was duly adopted by the San Diego River Conservancy's Governing Board.

Roll Call Vote:

Yeas: _____

Nays: _____

Absent: _____

Kevin McKernan
Executive Officer

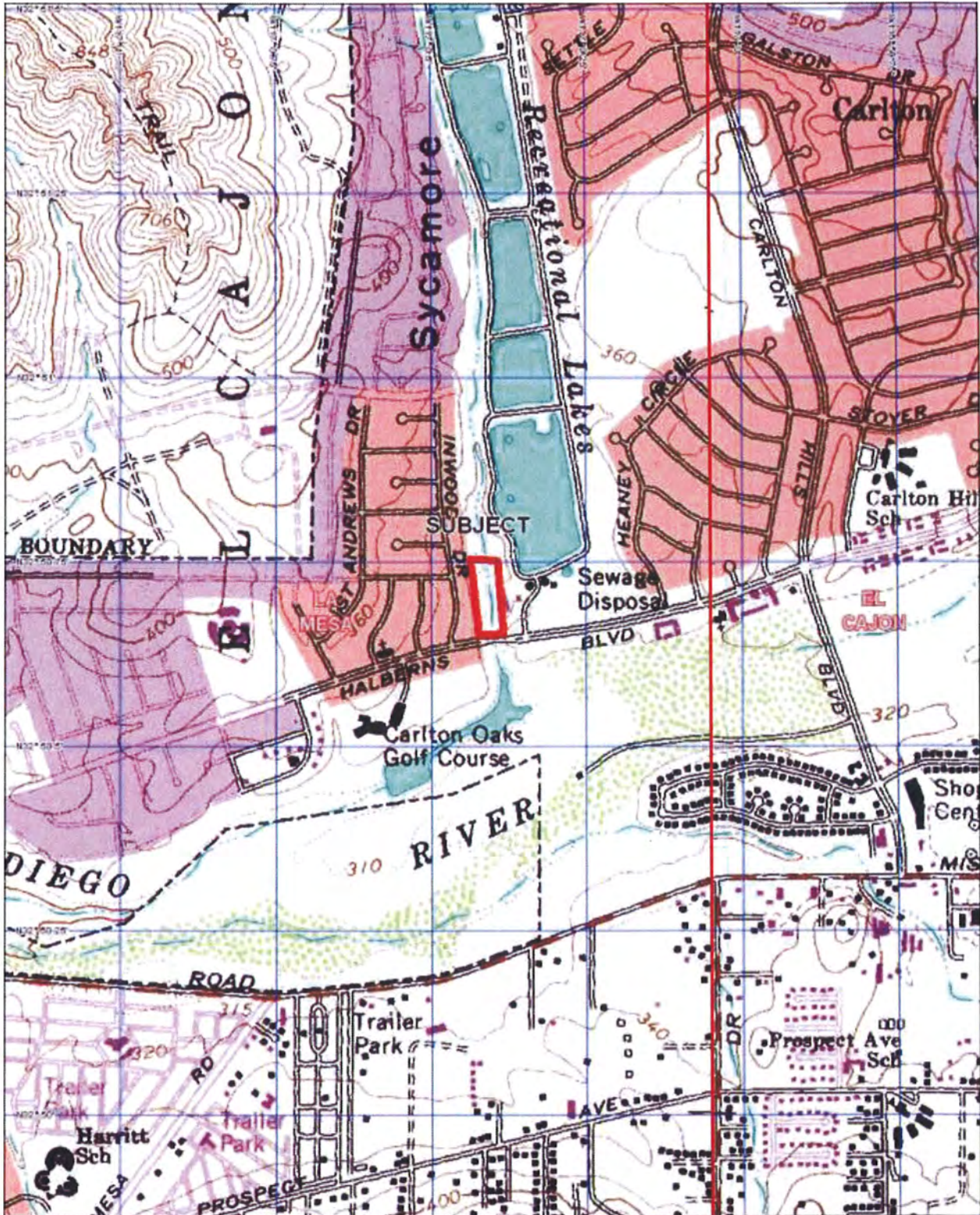
San Diego River Conservancy's Governing Board Members Roll Call Vote

Name	Ayes	Noes	Abstained
CNRA: Bryan Cash			
DOF: Eriana Ortega			
DPR: Vacant			
Mayor: Brent Eidson, designee			
County Supervisor Dianne Jacob			
City of San Diego Councilmember Scott Sherman			
Ben Clay, Chair			
Ruth Hayward, Vice Chair			
Ann Haddad			
Andrew Poat			
City of San Diego Councilmember Todd Gloria			

AERIAL PHOTO

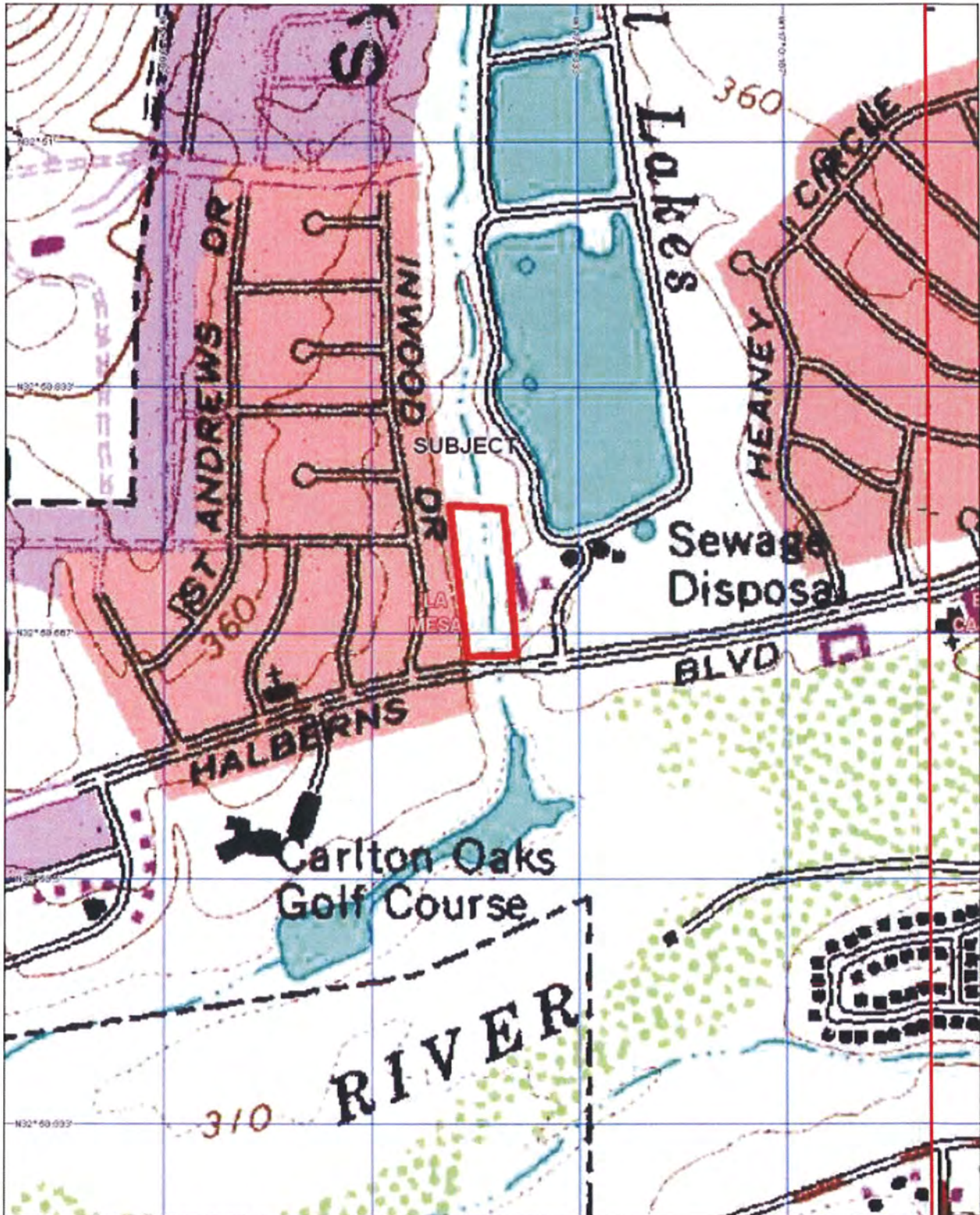


TOPOGRAPHICAL MAP



3 D TopoQuads Copyright © 1999 DeLorme Yarmouth, ME 04096 Source Data: USGS 150 ft Scale: 1:12,000 Detail: 14.0 Datum: WGS84

SITE ANALYSIS



3D TopoQuads Copyright © 1999 DeLorme Yarmouth, ME 04096 Source Data: USGS 150 ft Scale: 1:6,000 Detail: 150 Datum: WGS84

SUBJECT PHOTOS



Looking north at subject from Carlton Oaks Drive bridge



Looking north at subject from Carlton Oaks Drive bridge



North portion of subject in distance



Street scene, looking east along Carlton Oaks Drive, subject to right

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: **9**

SUBJECT: **BOARD APPROVAL - SAN DIEGO RIVER
CONSERVANCY DRAFT PROP 1 GUIDELINES FOR
PUBLIC REVIEW (*INFORMATIONAL/ACTION*)**

The Board will consider a motion to approve SDRC Draft Prop 1
Guidelines to be posted for 30 days in advance of public workshops

Presentation:
Kevin McKernan, Executive Officer

SAN DIEGO RIVER CONSERVANCY

PROPOSITION 1 GRANT PROGRAM

GUIDELINES

DRAFT

March 2015

FUNDED BY THE

**Water Quality, Supply, and Infrastructure
Improvement Act of 2014**

I. Introduction

A. The San Diego River Conservancy

The San Diego River Conservancy (“Conservancy”) is a state agency, established in 2002, to work specifically within the San Diego River watershed to implement multi-benefit projects that protect and enhance the San Diego River and its connected resources. The Conservancy’s enabling legislation is Division 22.9 of the Public Resources Code. Division 22.9 authorizes the Conservancy to undertake projects and award grants to achieve the goals set forth in Division 22.9. The Conservancy works along the entire length of the San Diego River, from its mouth in the City of San Diego at Ocean Beach to its headwaters in the mountains near Julian, California. This area also includes all of the contributing area to the San Diego River (its “watershed”) consisting of several streams, reservoirs, wetlands, the estuary and uplands. A map of the Conservancy’s jurisdiction can be viewed at www.sdrc.ca.gov.

The Conservancy provides technical assistance through its staff and it provides grant funds to help develop and implement projects that achieve its goals. The Conservancy develops and supports multi-benefit projects that advance a number of goals, including:

- protecting the natural and scenic beauty of the San Diego River
- improving water quality
- enhancing wildlife habitats
- removing invasive plant species from the river corridor and its tributaries to enhance habitat, reduce flood and fire risk and promote the re-establishment of the area’s native species
- helping people recreate and enjoy the developing San Diego River Park and associated open spaces
- promoting cultural and historical interpretation of the San Diego River and its people, including the history of how the search and development of water resources, including the San Diego River, have defined the area’s history.

The Conservancy has an adopted *Strategic Plan 2012-2017*, which identifies the Conservancy’s goals and objectives through 2018. The Conservancy’s Strategic Plan is consistent with Proposition 1 priorities, specifically: Program 1 *Conserve Land Along the San Diego River*; Program 3A *Preserve and Restore Natural Resources*; and Program 4 *Enhance Water Quality and Natural Flood Conveyance*. The Conservancy’s existing program areas address several of the purposes outlined in section 79732 of Proposition 1. The Conservancy’s Proposition 1 funded grants will be consistent with specific purposes in section 79732 and the applicable program areas outlined in the Conservancy’s Strategic Plan above.

B. Proposition 1

The Water Quality, Supply, and Infrastructure Improvement Act of 2014 (“Prop 1”) was approved by voters in November 2014. Prop 1 is codified as Division 26.7 of the Water Code. The purposes of Prop 1 include generating funding to address water quality, water supply and watershed protection and restoration. Chapter 6 of Prop 1 allocated \$17 million to the Conservancy for competitive grants for multibenefit ecosystem and watershed protection and restoration projects, Water Code Section 79731(j).

II. Program Purposes, Required Criteria and Eligibility

A. Purpose of Proposition 1 Grant Program Guidelines

These Proposition 1 Grant Program Guidelines (“Prop 1 Guidelines”) establish the process and criteria that the Conservancy will use to solicit applications, evaluate proposals, and award grants, pursuant to Prop 1. All projects funded by the Conservancy with Prop 1 must be consistent with the Conservancy’s enabling legislation, its Strategic Plan, its project selection criteria and Prop 1. These Prop 1 Guidelines identify the additional requirements applicable to Prop 1 funded projects and the project evaluation process for those projects. These Guidelines are adopted pursuant to Water Code Section 79706(a).

B. Conservancy Required Project Selection Criteria

The Conservancy has adopted these *Project Selection Criteria and Guidelines*, on May 14th, 2015 (“Conservancy Program Guidelines”), which sets forth the evaluation criteria that the Conservancy uses for its grant program funded under Prop 1. The Conservancy Program Guidelines consist of required criteria that must be satisfied by all projects and additional criteria that are not mandatory but are taken into account for purposes of priority. The required selection criteria are:

- **Location** (must be within the San Diego River watershed)
- **Promotion of the Conservancy’s statutory programs, purposes and strategic plan elements applicable to the purposes of Prop 1**
- **Consistency with purposes of the funding source (Prop 1)**
- **Promotion and implementation of state plans and policies** (specific plans and policies that are being considered or implemented)
- **Support from the jurisdiction and department in which the project is proposed**
- **Need** (desired project or result will not occur without Conservancy participation)

C. Purposes of Proposition 1, Chapter 6

The funding from Prop 1 allocated to the Conservancy comes from Chapter 6, "Protecting Rivers, Lakes, Streams, Coastal Waters and Watersheds." Chapter 6 of Prop 1 sets forth 13 specific purposes for the allocation of funds to the Conservancy ("Chapter 6 purposes"), Water Code Section 79732(a). All Prop 1 grants funded by the Conservancy must achieve at least one of these Chapter 6 purposes.

- 1) Protect and increase the economic benefits arising from healthy watersheds, fishery resources and instream flow.
- 2) Implement watershed adaptation projects in order to reduce the impacts of climate change on communities and ecosystems.
- 3) Restore river parkways throughout the state, including but not limited to projects pursuant to the California River Parkway Act of 2004 and urban river greenways
- 4) Protect and restore aquatic, wetland and migratory bird ecosystems including fish and wildlife corridors and the acquisition of water rights for instream flow.
- 5) Fulfill the obligations of the state of California in complying with the terms of multiparty settlement agreements related to water resources.
- 6) Remove barriers to fish passage.
- 7) Collaborate with federal agencies in the protection of fish native to California and wetlands in the central valley of California.
- 8) Implement fuel treatment projects to reduce wildfire risks, protect watersheds tributary to water storage facilities and promote watershed health.
- 9) Protect and restore rural and urban watershed health to improve watershed storage capacity, forest health, protection of life and property, stormwater resource management, and greenhouse gas reduction.
- 10) Protect and restore coastal watershed including but not limited to, bays, marine estuaries, and nearshore ecosystems.
- 11) Reduce pollution or contamination of rivers, lakes, streams, or coastal waters, prevent and remediate mercury contamination from legacy mines, and protect or restore natural system functions that contribute to water supply, water quality, or flood management.

- 12) Assist in the recovery of endangered, threatened, or migratory species by improving watershed health, instream flows, fish passage, coastal or inland wetland restoration, or other means, such as natural community conservation plan and habitat conservation plan implementation.
- 13) Assist in water-related agricultural sustainability projects.

D. Promotion and Implementation of State Plans and Policies

Both Prop 1 and the Conservancy Program Guidelines require that projects be consistent with statewide plans and priorities as identified in the California Water Action Plan, and other state plans.

E. Eligible Grantees

To be eligible for Prop 1 funding, projects must be consistent with both the Conservancy's enabling legislation, meet the Conservancy's required project selection criteria, support the Conservancy's Strategic Plan and advance at least one of the purposes of Chapter 6 of Prop 1.

Applicants eligible for Prop 1 grant funding from the Conservancy are:

- Public agencies, including any city, county, city and county, district, joint powers authority, state agency, public college, public university and federal agency.
- Any private, nonprofit organization that qualifies under Section 501(c)(3) of the United States Internal Revenue Code, and whose purposes are consistent with the Conservancy's enabling legislation (Division 21 of the Public Resources Code).
- Indian Tribes that are either federally recognized or listed on the Native Heritage Commission's California Tribal Consultation List.

F. Project Eligibility

Prop 1 funds must be spent consistent with the General Obligation Bond Law, Government Code Section 16727. In general, this means projects must entail the construction or acquisition of capital assets and/or activities that are incidentally but directly related to construction or acquisition.

Prop 1 contains additional provisions that may make some projects ineligible, these include:

- All projects funded by Prop 1 must be consistent with the Porter-Cologne Water Quality Control Act (Division 7 of the Water Code) and the State's five-year infrastructure plan prepared pursuant to Government Code section 13100.

- Prop 1 cannot be used to fund acquisitions of land by eminent domain. Water Code Section 79711(g).
- Prop 1 funds may only be used for projects that will provide benefits or improvements that are greater than required applicable environmental mitigation measures or compliance obligations.

III. Grant Application Process and Timeline

A. Grant Application

A grant application form will be posted on the Conservancy's website and may be updated periodically. The Conservancy may elect to solicit targeted proposals for a specific type of project for some of the solicitation periods.

B. Grant Solicitation Periods

There will be one grant solicitation period for each of the Conservancy's appropriation periods of funds, generally once a year, beginning on July 1. Annually, an announcement with key action dates will be posted on the Conservancy's website (<http://sdrc.ca.gov/>) and sent out to past and potential grantees, opening a 30-day pre-proposal submission phase. The Conservancy will meet with potential applicants, conduct site reviews as necessary and select which proposals meet the minimum criteria to be invited to submit full proposals. Full proposals will be due by August 21st, 2015 for the first round. For other rounds of funding, key action dates will be posted on the Conservancy's website. Conservancy staff and outside experts will score and rank proposals over a two-week period. Proposals recommended for funding are likely to be considered at the Conservancy's regularly scheduled Board Meeting on November 12th, 2015.

The solicitation period may be extended if the total dollar amount requested in proposals received does not exceed the Conservancy's first round appropriation. Proposals received after the initial solicitation period will be accepted 30 days prior to each Conservancy board meeting and scored in the same manner as other proposals. Proposals will be ranked against one another during these periods to ensure a competitive process. Proposals that are not invited in the initial or subsequent submissions periods will be described as to their deficiencies and reported to the board on a continuing basis. Project applicants are encouraged to seek technical assistance from the Conservancy on how to address any deficiencies for future submissions.

C. Application Review and Evaluation

- 1) **Completeness**
Grant applications will be initially reviewed for completeness. Incomplete grant applications will be returned to the applicant.

2) Screening

Conservancy staff will screen complete grant applications to ensure that:

- the project meets the Conservancy's required grant selection criteria of the Conservancy Program Guidelines,
- the project is consistent with the Conservancy's Strategic Plan,
- the project consists of work that is eligible for bond funds under the General Obligation Bond Law,
- the grantee is an eligible entity, and
- the project meets at least one of the Chapter 6 Purposes.

Applications that do not pass the screening process will not proceed to the scoring process. The Conservancy has discretion to either return the application or assist the applicant with gathering additional information and modifying the proposal to enable the application to pass the screening process.

3) Scoring

Complete applications that have passed the screening process will be reviewed and scored by Conservancy staff. Staff will include, as needed, state and federal agency staff and others with relevant expertise. Outside professionals, including consultants, may be used to review some applications. All reviewers will be required to document that they do not have a conflict of interest in reviewing any proposals.

All reviewers will score each proposal in accordance with Part IV. "Grant Evaluation and Scoring." Applications with an average score of 75 or better will qualify for grants. Conservancy staff will formulate and concur with one score per criteria for each proposal based on their expertise, experience and the input from subject matter experts as appropriate. Each proposal will receive one final score from which to compare and rank against other proposals.

4) Board Approval.

Staff will determine which qualified applications to recommend to the Conservancy Board for a grant, taking into account the score as well as other factors including how well the project meets the Conservancy Program Guidelines, its Strategic Plan, and the availability of funds.

D. Board Meetings

No grant shall be awarded unless the Conservancy Board has approved the grant at a public meeting. The Conservancy typically holds six public meetings per calendar year. The meeting schedule will be published on the Conservancy's website. The agenda for each public meeting will be published on the Conservancy's website ten days in advance of the meeting. Conservancy staff will prepare a report for each proposed grant presented to the Conservancy Board at a public meeting. The staff report will describe the project and explain how the project is consistent with the

Conservancy's enabling legislation, the Conservancy Program Guidelines, the Conservancy's Strategic Plan, the California Water Action Plan and the evaluation criteria in these Prop 1 Grant Program Guidelines.

E. Grant Agreement

Once the Conservancy has approved a grant at a public meeting, Conservancy staff will prepare a grant agreement setting forth the terms and conditions of the grant. The grantee must sign the grant agreement and comply with conditions in order to receive funds.

IV. Grant Evaluation

A. Evaluation Criteria:

- 1) The project is within the jurisdiction of the San Diego River Conservancy (San Diego watershed) – required. (pass/fail)
- 2) The extent to which the project achieves one or more of the purposes of Chapter 6 of Prop 1. (19 points)
- 3) The extent to which the application includes a complete, reasonable and well thought out proposed scope of work, budget and schedule. (16 points)
- 4) The extent to which the project promotes and implements state and/or regional plans and policies. (8 points)
- 5) The extent to which the project employs new or innovative technology or practices. (6 points)
- 6) The extent to which the applicant demonstrates a clear and reasonable method for measuring and reporting the effectiveness of the project. (10 points)
- 7) The extent to which the project provides multiple benefits. (6 points)
- 8) Whether the project reflects best available science. (9 points)
- 9) The extent to which the project has support from the jurisdiction over the location of the project - required. (pass/fail)
- 10) The extent to which the applicant demonstrates experience successfully implementing similar projects or demonstrates appropriate and necessary partnerships to complete the project. (18 points)

- 11) The project that is in a disadvantaged community. "Disadvantaged community" means a community with an annual median household income that is less than 80 percent of the statewide annual median household income. (7 points)
- 12) The Conservancy will award up to 14 points to applicants with significant matching funds. In addition, the Conservancy will provide a summary of the total leverage of Conservancy funds in an annual financial report to the Conservancy Board.

V. Additional Information

A. Available Funding

The Conservancy expects to grant approximately \$3-4 million each year for about five years. However, the amount of funds available will depend upon the amount appropriated to the Conservancy by the State Legislature each year. The amount awarded will also depend on the quality of the proposals submitted.

B. Additional Project Considerations

- For restoration and ecosystem protection projects under this program, the services of the California Conservation Corps or a local conservation corps certified by the California Conservation Corps shall be used whenever feasible.
- Agencies acquiring land may use the Natural Heritage Preservation Tax Credit Act of 2000 (Division 28 of the Public Resources Code. Water Code Section 79711(h).
- Grantees will be required to provide signage informing the public that the project received Prop 1 funding. This requirement will be addressed in the grant agreement.

C. Grant Provisions

Following Conservancy Board approval of a grant, staff will prepare a grant agreement with detailed conditions specific to the project. The grant agreement must be signed by the grantee before funds will be disbursed. Several typical grant agreement provisions are:

- Actual awards are conditional upon funds being available from the state.
- Grantees must submit a detailed project work program and budget.
- Grant funds will only be paid in arrears on a reimbursement basis.
- Grantees may be required to reimburse the Conservancy for some or all of the disbursed grant funds if the project is not completed.
- Grantees must have liability insurance.

D. Environmental Documents

The Conservancy is required to comply with the California Environmental Quality Act (CEQA). Grant applicants should consider whether their proposed project will trigger the need for an environmental impact report, negative declaration or whether a CEQA exemption applies. How CEQA applies and the status of CEQA compliance must be addressed in the grant application. Applicant should list and discuss any other potential permits needed for their project.

E. Project Monitoring and Reporting

All grant applications must include a monitoring and reporting component that explains how the effectiveness of the project will be measured and reported. The monitoring and reporting component will vary depending on the nature of the project. In addition, Conservancy staff will work with grantees to develop appropriate monitoring and reporting templates and procedures.

DRAFT

References

Text of Proposition 1 <http://vig.cdn.sos.ca.gov/2014/general/en/pdf/text-of-proposed-law-prop1.pdf>

California Water Action Plan

[http://resources.ca.gov/docs/california_water_action_plan/Final California Water Action Plan.pdf](http://resources.ca.gov/docs/california_water_action_plan/Final_California_Water_Action_Plan.pdf)

San Diego River Conservancy Strategic Plan

[http://www.sdrc.ca.gov/docs/StratPlan update 2012-2017 Final.pdf](http://www.sdrc.ca.gov/docs/StratPlan_update_2012-2017_Final.pdf)

SAN DIEGO RIVER WATERSHED MANAGEMENT AREA WATER QUALITY IMPROVEMENT PLAN

[http://www.projectcleanwater.org/images/SDR WQIP/sdr b.3 chapter 12-17-2014.pdf](http://www.projectcleanwater.org/images/SDR_WQIP/sdr_b.3_chapter_12-17-2014.pdf)

DRAFT



GRANT APPLICATION FORM

PART A: SUMMARY

APPLICANT INFORMATION:

Applicant name (organization): _____

Address: _____

Contact name: _____

Telephone: _____

Fax: _____

Email: _____

Federal Tax ID# _____

Position(s) whose incumbents are authorized to negotiate agreements and amendments:

Grant Solicitation Period

There will be one grant solicitation period for each year of the Conservancy's appropriation, generally once a year, beginning on July 1, opening a 30-day pre-proposal submission phase. Applicants are required to contact Conservancy staff on instructions for pre-proposal materials. The Conservancy will meet with potential applicants, conduct site reviews as necessary and select which proposals meet the minimum criteria to be invited to submit full proposals. **This APPLICATION should only be submitted after the applicant has been invited to submit a full proposal.** The solicitation period may be extended if the total dollar amount requested in proposals received does not exceed the Conservancy's appropriation for the year. Proposals received after the initial solicitation period will be accepted 30 days prior to each Conservancy board meeting and scored in the same manner as other proposals.

PROJECT INFORMATION:

Project name (limit 75 characters): _____

Project location: City: _____

County: _____

Street: _____

Cross street: _____

Proposed start date: [Click here to enter a date.](#) Estimated completion: [Click here to enter a date.](#)

Acreage (if relevant): _____

APN's (if an acquisition): _____

Stream miles (if relevant – miles or linear feet): _____

Latitude (e.g. 38.337094): _____

Longitude: (e.g. -122.589652): _____

Note: Latitude/Longitude can be determined using Google Earth, <http://itouchmap.com/latlong.html>, and other on-line resources

What point is represented by the lat/longs (i.e., parking lot, center of site, etc): _____

From the information provided above, is the project located within the San Diego River watershed? _____

(For map of the watershed go to <http://www.sdrc.ca.gov/explore.htm>)

Please describe how the applicant has support from the jurisdiction and/or department in which the project is proposed.

ELIGIBILITY GRANTEE

To be eligible for Prop 1 funding, projects must be consistent with the Conservancy's enabling legislation, meet the Conservancy's required project selection criteria (see grant guidelines on Conservancy website <http://sdrca.gov/>) support the Conservancy's Strategic Plan and advance at least one of the purposes of Chapter 6 of Prop 1.

Applicants eligible for Prop 1 grant funding from the Conservancy are:

- Public agencies, including any city, county, district, joint powers authority, state agency, public college, public university and federal agency.
- Any private, nonprofit organization that qualifies under Section 501(c)(3) of the United States Internal Revenue Code, and whose purposes are consistent with the Conservancy's enabling legislation (Division 21 of the Public Resources Code).
- Indian Tribes that are either federally recognized or listed on the Native Heritage Commission's California Tribal Consultation List.

Please describe how the applicant organization is an eligible entity according to the statement above.

PROJECT ADVANCE PURPOSES IN SUPPORT OF CHAPTER 6

Chapter 6 of Prop 1 sets forth 13 specific purposes of the allocation of funds to the Conservancy (“Chapter 6 purposes”), Water Code Section 79732(a). All Prop 1 grants funded by the Conservancy must achieve at least one of these Chapter 6 purposes listed below. Please describe below how applicant’s project achieves any of these purposes.

1. Protect and increase the economic benefits arising from healthy watersheds, fishery resources and instream flow.
2. Implement watershed adaptation projects in order to reduce the impacts of climate change on communities and ecosystems.
3. Restore river parkways throughout the state, including but not limited to projects pursuant to the California River Parkways Act of 2004 and urban river greenways
4. Protect and restore aquatic, wetland and migratory bird ecosystems including fish and wildlife corridors and the acquisition of water rights for instream flow.
5. Fulfill the obligations of the state of California in complying with the terms of multiparty settlement agreements related to water resources.
6. Remove barriers to fish passage.
7. Collaborate with federal agencies in the protection of fish native to California and wetlands in the central valley of California.
8. Implement fuel treatment projects to reduce wildfire risks, protect watersheds tributary to water storage facilities and promote watershed health.
9. Protect and restore rural and urban watershed health to improve watershed storage capacity, forest health, protection of life and property, stormwater resource management, and greenhouse gas reduction.
10. Protect and restore coastal watershed including but not limited to, bays, marine estuaries, and nearshore ecosystems.
11. Reduce pollution or contamination of rivers, lakes, streams, or coastal waters, prevent and remediate mercury contamination from legacy mines, and protect or restore natural system functions that contribute to water supply, water quality, or flood management.
12. Assist in the recovery of endangered, threatened, or migratory species by improving watershed health, instream flows, fish passage, coastal or inland wetland restoration, or other means, such as natural community conservation plan and habitat conservation plan implementation.
13. Assist in water-related agricultural sustainability projects.

REQUEST:

Funding amount requested from the Conservancy: \$ _____

Month and Year Conservancy funding needed: [Click here to enter a date.](#)

Other Funding Sources/Matching Funds (do not include in-kind services):

Source of funds	Amount (\$)	Estimated commitment date

Total Project Cost (include additional funds): _____

In-kind Services determination

In-kind services include: volunteer time and materials, bargain sales, and land donations. Please describe and estimate value of in-kind contributions

PART B: BUDGET, TIMELINE, AND ADDITIONAL QUESTIONS

PRELIMINARY BUDGET:

In the budget matrix below, list the major tasks of the proposed project, the estimated cost of the task, and the funding sources (applicant, Conservancy, and other) for the task. The listed tasks should correlate with the tasks described in the Project Description and listed on the Schedule.

Task Number	Task	Applicant's Funds	San Diego River Conservancy	Other Funds	Total Cost
					\$ 0
					\$ 0
					\$ 0
					\$ 0
					\$ 0
					\$ 0
TOTAL		\$ 0	\$ 0	\$ 0	\$ 0

SCHEDULE:

List the project tasks and all significant project milestones related (for example, California Environmental Quality Act compliance, obtaining of permits, appraisal preparation and other land acquisition documents, commencement of construction, and project completion). For each item provide the expected completion date and any factors that could influence the timely implementation of the project.

Task or Milestone	Expected Completion Date
	Click here to enter a date.
	Click here to enter a date.
	Click here to enter a date.
	Click here to enter a date.
	Click here to enter a date.
	Click here to enter a date.
	Click here to enter a date.

ADDITIONAL INFORMATION:

Please address the following additional criteria (limited to 10 pages)

- 1) The project is within the jurisdiction of the San Diego River Conservancy (San Diego watershed) – required. (pass/fail)
- 2) The extent to which the project achieves one or more of the purposes of Chapter 6 of Prop1. (19 points)
- 3) The extent to which the application includes a complete, reasonable and well thought out proposed scope of work, budget and schedule. (16 points)
- 4) The extent to which the project promotes and implements state and/or regional plans and policies. (8 points)
- 5) The extent to which the project employs new or innovative technology or practices. (6 points)
- 6) The extent to which the applicant demonstrates a clear and reasonable method for measuring and reporting the effectiveness of the project. (10 points)
- 7) The extent to which the project provides multiple benefits. (6 points)
- 8) Whether the project reflects best available science. (9 points)
- 9) The extent to which the project has support from the jurisdiction over the location of the project - required. (pass/fail)
- 10) The extent to which the applicant demonstrates experience successfully implementing similar projects or demonstrates appropriate and necessary partnerships to complete the project. (18 points)
- 11) The project that is in a disadvantaged community. "Disadvantaged community" means a community with an annual median household income that is less than 80 percent of the statewide annual median household income. (7 points)
- 12) The Conservancy will award up to 14 points to applicants with significant matching funds. In addition, the Conservancy will provide a summary of the total leverage of Conservancy funds from all its grant programs in an annual financial report to the Conservancy Board.

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: **10**

SUBJECT: **EXECUTIVE OFFICER'S REPORT
(INFORMATIONAL / ACTION)**

The following topics may be included in the Executive Officer's Report. The Board may take action regarding any of them:

- Form 700 – due to the SDRC by March 25, 2015
- Assembly Bill 392 – Fact Sheet (attached)
- Sycamore Creek Clean-up by the San Diego River Park Foundation (photos attached)

News Articles:

1. **Volunteer clean-up the San Diego River Estuary**, January 19, 2015, CBS 8 (San Diego) <http://www.cbs8.com/story/27886377/volunteers-clean-up-the-san-diego-river-estuary>
2. **Trees find new, permanent homes in Santee**, February 17, 2015, Karen Pearlman, San Diego Union Tribune.
3. **Qualcomm site ideal for innovative development**, February 21, 2015, Wayne Rafflesberger, San Diego Union Tribune.

2014/2015 Statement of Economic Interests



Form 700

A Public Document

Also available on the FPPC website:

- ***Form 700 in Excel format***
- ***Reference Pamphlet for Form 700***

California Fair Political Practices Commission

428 J Street, Suite 620 • Sacramento, CA 95814

Email Advice: advice@fppc.ca.gov

Toll-free advice line: 1 (866) ASK-FPPC • 1 (866) 275-3772

Telephone: (916) 322-5660 • Website: www.fppc.ca.gov

December 2014

What's New

Gift Limit Increase

The gift limit increased to \$460 for calendar years 2015 and 2016. The gift limit during 2014 was \$440.

Who must file:

- Elected and appointed officials and candidates listed in Government Code Section 87200
- Employees, appointed officials, and consultants filing pursuant to a conflict of interest code ("code filers"). **Obtain your disclosure categories, which describe the interests you must report, from your agency;** they are not part of the Form 700
- Candidates running for local elective offices that are designated in a conflict of interest code (e.g., county sheriffs, city clerks, school board trustees, and water board members)
- Members of newly created boards and commissions not yet covered under a conflict of interest code
- Employees in newly created positions of existing agencies

See Reference Pamphlet, page 3, at www.fppc.ca.gov or obtain from your filing officer.

Where to file:

87200 Filers

State offices	➔	Your agency
Judicial offices	➔	The clerk of your court
Retired Judges	➔	Directly with FPPC
County offices	➔	Your county filing official
City offices	➔	Your city clerk
Multi-County offices	➔	Your agency

Code Filers — State and Local Officials, Employees, and Consultants Designated in a Conflict of Interest Code:

File with your agency, board, or commission unless otherwise specified in your agency's conflict of interest code (e.g., Legislative staff files directly with FPPC). In most cases, the agency, board, or commission will retain the statements.

Members of Boards and Commissions of Newly Created Agencies: File with your newly created agency or with your agency's code reviewing body.

Employees in Newly Created Positions of Existing Agencies: File with your agency or with your agency's code reviewing body. See Reference Pamphlet, page 3.

Candidates: File with your local elections office.

How to file:

The Form 700 is available at www.fppc.ca.gov. Form 700 schedules are also available in Excel format. All statements must have an original "wet" signature or be duly authorized by your filing officer to file electronically under Government Code Section 87500.2. Instructions, examples, FAQs, and a reference pamphlet are available to help answer your questions.

When to file:

Annual Statements

➔ March 2, 2015

- Elected State Officers
- Judges and Court Commissioners
- State Board and Commission Members listed in Government Code Section 87200

➔ April 1, 2015

- Most other filers

Individuals filing under conflict of interest codes in city and county jurisdictions should verify the annual filing date with their local filing officers.

Statements postmarked by the filing deadline are considered filed on time.

Assuming Office and Leaving Office Statements

Most filers file within 30 days of assuming or leaving office or within 30 days of the effective date of a newly adopted or amended conflict of interest code.

Exception:

If you assumed office between October 1, 2014, and December 31, 2014, and filed an assuming office statement, you are not required to file an annual statement until March 1, 2016, or April 1, 2016, whichever is applicable. The annual statement will cover the day after you assumed office through December 31, 2015. See Reference Pamphlet, pages 6 and 7, for additional exceptions.

Candidate Statements

File no later than the final filing date for the declaration of candidacy or nomination documents.

Amendments

Statements may be amended at any time. You are only required to amend the schedule that needs to be revised. It is not necessary to amend the entire filed form. Obtain amendment schedules at www.fppc.ca.gov.

There is no provision for filing deadline extensions unless the filer is serving in active military duty. (Regulation 18723) Statements of 30 pages or less may be faxed by the deadline as long as the originally signed paper version is sent by first class mail to the filing official within 24 hours.

Introduction

The Political Reform Act (Gov. Code Sections 81000-91014) requires most state and local government officials and employees to publicly disclose their personal assets and income. They also must disqualify themselves from participating in decisions that may affect their personal economic interests. The Fair Political Practices Commission (FPPC) is the state agency responsible for issuing the attached Statement of Economic Interests, Form 700, and for interpreting the law's provisions.

Gift Prohibition

Gifts received by most state and local officials, employees, and candidates are subject to a limit. During 2013 and 2014, the gift limit was \$440 from a single source per calendar year. For years 2015-2016, the limit increased to \$460 from a single source during a calendar year.

In addition, state officials, state candidates, and certain state employees are subject to a \$10 limit per calendar month on gifts from lobbyists and lobbying firms registered with the Secretary of State. See Reference Pamphlet, page 10.

State and local officials and employees should check with their agency to determine if other restrictions apply.

Disqualification

Public officials are, under certain circumstances, required to disqualify themselves from making, participating in, or attempting to influence governmental decisions that will affect their economic interests. This may include interests they are not required to disclose (i.e., a personal residence is often not reportable, but may be disqualifying). Specific disqualification requirements apply to 87200 filers (e.g., city councilmembers, members of boards of supervisors, planning commissioners, etc.). These officials must identify orally the economic interest that creates a conflict of interest and leave the room before a discussion or vote takes place at a public meeting. For more information, consult Government Code Section 87105, Regulation 18702.5, and the Overview of the Conflict of Interest Laws at www.fppc.ca.gov.

Honorarium Ban

Most state and local officials, employees, and candidates are prohibited from accepting an honorarium for any speech given, article published, or attendance at a conference, convention, meeting, or like gathering. See Reference Pamphlet, page 10.

Loan Restrictions

Certain state and local officials are subject to restrictions on loans. See Reference Pamphlet, page 14.

Post-Governmental Employment

There are restrictions on representing clients or employers before former agencies. The provisions apply to elected state officials, most state employees, local elected officials, county chief administrative officers, city managers, including the chief administrator of a city, and general managers or chief administrators of local special districts and JPAs. The FPPC website has fact sheets explaining the provisions.

Late Filing

The filing officer who retains originally-signed or electronically filed statements of economic interests may impose on an individual a fine for any statement that is filed late. The fine is \$10 per day up to a maximum of \$100. Late filing penalties may be reduced or waived under certain circumstances.

Persons who fail to timely file their Form 700 may be referred to the FPPC's Enforcement Division (and, in some cases, to the Attorney General or district attorney) for investigation and possible prosecution. In addition to the late filing penalties, a fine of up to \$5,000 per violation may be imposed.

For assistance concerning reporting, prohibitions, and restrictions under the Act:

- Email questions to advice@fppc.ca.gov.
- Call the FPPC toll-free at (866) 275-3772.

Form 700 is a Public Document Public Access Must Be Provided

Statements of Economic Interests are public documents. The filing officer must permit any member of the public to inspect and receive a copy of any statement.

- Statements must be available as soon as possible during the agency's regular business hours, but in any event not later than the second business day after the statement is received. Access to the Form 700 is not subject to the Public Records Act procedures.
- No conditions may be placed on persons seeking access to the forms.
- No information or identification may be required from persons seeking access.
- Reproduction fees of no more than 10 cents per page may be charged.

Types of Form 700 Filings

Assuming Office Statement:

If you are a newly appointed official or are newly employed in a position designated, or that will be designated, in a state or local agency's conflict of interest code, your assuming office date is the date you were sworn in or otherwise authorized to serve in the position. If you are a newly elected official, your assuming office date is the date you were sworn in.

- Investments, interests in real property, and business positions held on the date you assumed the office or position must be reported. In addition, income (including loans, gifts, and travel payments) received during the 12 months prior to the date you assumed the office or position is reportable.

For positions subject to confirmation by the State Senate or the Commission on Judicial Performance, your assuming office date is the date you were appointed or nominated to the position.

Example:

Maria Lopez was nominated by the Governor to serve on a state agency board that is subject to state Senate confirmation. The assuming office date is the date Maria's nomination is submitted to the Senate. Maria must report investments, interests in real property, and business positions she holds on that date, and income (including loans, gifts, and travel payments) received during the 12 months prior to that date.

If your office or position has been added to a newly adopted or newly amended conflict of interest code, use the effective date of the code or amendment, whichever is applicable.

- Investments, interests in real property, and business positions held on the effective date of the code or amendment must be reported. In addition, income (including loans, gifts, and travel payments) received during the 12 months prior to the effective date of the code or amendment is reportable.

Annual Statement:

Generally, the period covered is January 1, 2014, through December 31, 2014. If the period covered by the statement is different than January 1, 2014, through December 31, 2014, (for example, you assumed office between October 1, 2013, and December 31, 2013 or you are combining statements), you must specify the period covered.

- Investments, interests in real property, business positions held, and income (including loans, gifts, and travel payments) received during the period covered by the statement must be reported. Do not change the preprinted dates on Schedules A-1, A-2, and B unless you are required to report the acquisition or disposition of an interest that did not occur in 2014.

- If your disclosure category changes during a reporting period, disclose under the old category until the effective date of the conflict of interest code amendment and disclose under the new disclosure category through the end of the reporting period.

Leaving Office Statement:

Generally, the period covered is January 1, 2014, through the date you stopped performing the duties of your position. If the period covered differs from January 1, 2014, through the date you stopped performing the duties of your position (for example, you assumed office between October 1, 2013, and December 31, 2013, or you are combining statements), the period covered must be specified. The reporting period can cover parts of two calendar years.

- Investments, interests in real property, business positions held, and income (including loans, gifts, and travel payments) received during the period covered by the statement must be reported. Do not change the preprinted dates on Schedules A-1, A-2, and B unless you are required to report the acquisition or disposition of an interest that did not occur in 2014.

Candidate Statement:

If you are filing a statement in connection with your candidacy for state or local office, investments, interests in real property, and business positions held on the date of filing your declaration of candidacy must be reported. In addition, income (including loans, gifts, and travel payments) received during the 12 months prior to the date of filing your declaration of candidacy is reportable. Do not change the preprinted dates on Schedules A-1, A-2, and B.

Candidates running for local elective offices (e.g., county sheriffs, city clerks, school board trustees, and water district board members) must file candidate statements, as required by the conflict of interest code for the elected position. The code may be obtained from the agency of the elected position.

Amendments:

If you discover errors or omissions on any statement, file an amendment as soon as possible. You are only required to amend the schedule that needs to be revised; it is not necessary to refile the entire form. Obtain amendment schedules from the FPPC website at www.fppc.ca.gov.

Instructions Cover Page

Enter your name, mailing address, and daytime telephone number in the spaces provided. **Because the Form 700 is a public document, you may list your business/office address instead of your home address.**

Part 1. Office, Agency, or Court

- Enter the name of the office sought or held, or the agency or court. Consultants must enter the public agency name rather than their private firm's name. (Examples: State Assembly; Board of Supervisors; Office of the Mayor; Department of Finance; Hope County Superior Court)
- Indicate the name of your division, board, or district, if applicable. (Examples: Division of Waste Management; Board of Accountancy; District 45). **Do not use acronyms.**
- Enter your position title. (Examples: Director; Chief Counsel; City Council Member; Staff Services Analyst)
- If you hold multiple positions (i.e., a city council member who also is a member of a county board or commission), you may be required to file statements with each agency. To simplify your filing obligations, you may complete an expanded statement.
- To do this, enter the name of the other agency(ies) with which you are required to file and your position title(s) in the space provided. **Do not use acronyms.** Attach an additional sheet if necessary. Complete one statement covering the disclosure requirements for all positions. Each copy must contain an original signature. Therefore, before signing the statement, make a copy for each agency. Sign each copy with an original signature and file with each agency.

If you assume or leave a position after a filing deadline, you must complete a separate statement. For example, a city council member who assumes a position with a county special district after the April 1 annual filing deadline must file a separate assuming office statement. In subsequent years, the city council member may expand his or her annual filing to include both positions.

Example:

Scott Baker is a city council member for the City of Lincoln and a board member for the Camp Far West Irrigation District – a multi-county agency that covers Placer and Yuba counties. Scott will complete one Form 700 using full disclosure (as required for the city position) and covering interests in both Placer and Yuba counties (as required for the multi-county position) and list both positions on the Cover Page. Before signing the statement, Scott will make a copy and sign both statements. One statement will be filed with City of Lincoln and the other will be filed with Camp Far West Irrigation District. Both will contain an original signature.

Part 2. Jurisdiction of Office

- Check the box indicating the jurisdiction of your agency and, if applicable, identify the jurisdiction. Judges, judicial candidates, and court commissioners have statewide jurisdiction. All other filers should review the Reference Pamphlet, page 13, to determine their jurisdiction.
- If your agency is a multi-county office, list each county in which your agency has jurisdiction.

- If your agency is not a state office, court, county office, city office, or multi-county office (e.g., school districts, special districts and JPAs), check the "other" box and enter the county or city in which the agency has jurisdiction.

Example:

This filer is a member of a water district board with jurisdiction in portions of Yuba and Sutter Counties.

Part 3. Type of Statement

1. Office, Agency, or Court	
Agency Name (Do not use acronyms)	
Division, Board, Department, District, if applicable	Your Position
► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)	
Agency: _____	Position: _____
2. Jurisdiction of Office (Check at least one box)	
<input type="checkbox"/> State	<input type="checkbox"/> Judge or Court Commissioner (Statewide Jurisdiction)
<input checked="" type="checkbox"/> Multi-County <u>Yuba & Sutter Counties</u>	<input type="checkbox"/> County of _____
<input type="checkbox"/> City of _____	<input type="checkbox"/> Other _____

Check at least one box. The period covered by a statement is determined by the type of statement you are filing. If you are completing a 2014 annual statement, **do not** change the pre-printed dates to reflect 2015. Your annual statement is used for reporting the **previous year's** economic interests. Economic interests for your annual filing covering January 1, 2015, through December 31, 2015, will be disclosed on your statement filed in 2016. See Reference Pamphlet, page 4.

Combining Statements: Certain types of statements may be combined. For example, if you leave office after January 1, but before the deadline for filing your annual statement, you may combine your annual and leaving office statements. File by the earliest deadline. Consult your filing officer or the FPPC.

Part 4. Schedule Summary

- Enter the total number of completed pages including the cover page and either check the box for each schedule you use to disclose interests; **or** if you have nothing to disclose on any schedule, check the "No reportable interests" box. Please **do not** attach any blank schedules.

Part 5. Verification

Complete the verification by signing the statement and entering the date signed. All statements must have an original "wet" signature or be duly authorized by your filing officer to file electronically under Government Code Section 87500.2. Instructions, examples, FAQs, and a reference pamphlet are available to help answer your questions. **When you sign your statement, you are stating, under penalty of perjury, that it is true and correct.** Only the filer has authority to sign the statement. An unsigned statement is not considered filed and you may be subject to late filing penalties.

STATEMENT OF ECONOMIC INTERESTS
COVER PAGE

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)

1. Office, Agency, or Court

Agency Name (Do not use acronyms)

Division, Board, Department, District, if applicable Your Position

► If filing for multiple positions, list below or on an attachment. (Do not use acronyms)

Agency: Position:

2. Jurisdiction of Office (Check at least one box)

- State Judge or Court Commissioner (Statewide Jurisdiction)
- Multi-County _____ County of _____
- City of _____ Other _____

3. Type of Statement (Check at least one box)

- Annual:** The period covered is January 1, 2014, through December 31, 2014.
-or-
The period covered is ____/____/____, through December 31, 2014.
- Assuming Office:** Date assumed ____/____/____
- Candidate:** Election year _____ and office sought, if different than Part 1: _____
- Leaving Office:** Date Left ____/____/____ (Check one)
 - The period covered is January 1, 2014, through the date of leaving office.
 - The period covered is ____/____/____, through the date of leaving office.

4. Schedule Summary

- Check applicable schedules or "None." ► Total number of pages including this cover page: _____
- Schedule A-1 - Investments** – schedule attached
 - Schedule A-2 - Investments** – schedule attached
 - Schedule B - Real Property** – schedule attached
 - Schedule C - Income, Loans, & Business Positions** – schedule attached
 - Schedule D - Income – Gifts** – schedule attached
 - Schedule E - Income – Gifts – Travel Payments** – schedule attached
- or-
 None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE
(Business or Agency Address Recommended - Public Document)

DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS
()

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed (month, day, year) Signature (File the originally signed statement with your filing official.)

Which Schedule Do I Use?

Common Reportable Interests

Schedule A-1	Stocks, including those held in an IRA or a 401K
Schedule A-2	Business entities (including certain independent contracting), sole proprietorships, partnerships, LLCs, corporations, and trusts
Schedule B	Rental property in the jurisdiction
Schedule C	Non-governmental salaries of public official and spouse/registered domestic partner
Schedule D	Gifts from businesses (such as tickets to sporting or entertainment events)
Schedule E	Travel payments from third parties (not your employer)

Common Non-Reportable Interests

Schedule A-1	Insurance policies, government bonds, diversified mutual funds, certain funds similar to diversified mutual funds (such as exchange traded funds) and investments held in certain retirement accounts. See Reference Pamphlet, page 13, for detailed information. (Regulation 18237)
Schedule A-2	Savings and checking accounts and annuities
Schedule B	A residence used exclusively as a personal residence (such as a home or vacation cabin)
Schedule C	Governmental salary (such as a school district)
Schedule D	Gifts from family members
Schedule E	Travel paid by your government agency

Remember:

- ✓ Mark the “No reportable interests” box on Part 4 of the Schedule Summary on the Cover Page if you determine you have nothing to disclose and file the Cover Page only. **Make sure you carefully read all instructions to ensure proper reporting.**
- ✓ The Form 700 is a public document.
- ✓ **Most individuals must consult their agency’s conflict of interest code for reportable interests.**
- ✓ Most individuals file the Form 700 with their agencies.
- ✓ When you file your statement, bring a copy to have date stamped for your records.

Questions and Answers

General

- Q. What is the reporting period for disclosing interests on an assuming office statement or a candidate statement?
- A. On an assuming office statement, disclose all reportable investments, interests in real property, and business positions held on the date you assumed office. In addition, you must disclose income (including loans, gifts and travel payments) received during the 12 months prior to the date you assumed office.

On a candidate statement, disclose all reportable investments, interests in real property, and business positions held on the date you file your declaration of candidacy. You must also disclose income (including loans, gifts and travel payments) received during the 12 months prior to the date you file your declaration of candidacy.

- Q. I hold two other board positions in addition to my position with the county. Must I file three statements of economic interests?
- A. Yes, three are required. However, you may complete one statement listing the county and the two boards on the Cover Page or an attachment as the agencies for which you will be filing. Report your economic interests using the largest jurisdiction and highest disclosure requirements assigned to you by the three agencies. Make two copies of the entire statement before signing it, sign each copy with an original signature, and distribute one original to the county and to each of the two boards. Remember to complete separate statements for positions that you leave or assume during the year.
- Q. I am a department head who recently began acting as city manager. Should I file as the city manager?
- A. Yes. File an assuming office statement as city manager. Persons serving as “acting,” “interim,” or “alternate” must file as if they hold the position because they are or may be performing the duties of the position.
- Q. As a designated employee, I left one state agency to work for another state agency. Must I file a leaving office statement?
- A. Yes. You may also need to file an assuming office statement for the new agency.

Investment Disclosure

- Q. I have an investment interest in shares of stock in a company that does not have an office in my jurisdiction. Must I still disclose my investment interest in this company?
- A. Probably. The definition of “doing business in the jurisdiction” is not limited to whether the business has an office or physical location in your jurisdiction. See Reference Pamphlet, page 13.
- Q. My spouse and I have a living trust. The trust holds rental property in my jurisdiction, our primary residence, and investments in diversified mutual funds. I have full disclosure. How is this trust disclosed?
- A. Disclose the name of the trust, the rental property and its income on Schedule A-2. Your primary residence and investments in diversified mutual funds registered with the SEC are not reportable.
- Q. I am required to report all investments. I have an IRA that contains stocks through an account managed by a brokerage firm. Must I disclose these stocks even though they are held in an IRA and I did not decide which stocks to purchase?
- A. Yes. Disclose on Schedule A-1 or A-2 any stock worth \$2,000 or more in a business entity located in or doing business in your jurisdiction.
- Q. I own stock in IBM and must report this investment on Schedule A-1. I initially purchased this stock in the early 1990s; however, I am constantly buying and selling shares. Must I note these dates in the “Acquired” and “Disposed” fields?
- A. No. You must only report dates in the “Acquired” or “Disposed” fields when, during the reporting period, you initially purchase a reportable investment worth \$2,000 or more or when you dispose of the entire investment. You are not required to track the partial trading of an investment.

Questions and Answers Continued

Q. On last year's filing I reported stock in Encoe valued at \$2,000 - \$10,000. Late last year the value of this stock fell below and remains at less than \$2,000. How should this be reported on this year's statement?

A. You are not required to report an investment if the value was less than \$2,000 during the **entire** reporting period. However, because a disposed date is not required for stocks that fall below \$2,000, you may want to report the stock and note in the "comments" section that the value fell below \$2,000. This would be for informational purposes only; it is not a requirement.

Q. We have a Section 529 account set up to save money for our son's college education. Is this reportable?

A. If the Section 529 account contains reportable interests (e.g., common stock valued at \$2,000 or more), those interests are reportable (not the actual Section 529 account). If the account contains solely mutual funds, then nothing is reported.

Income Disclosure

Q. I reported a business entity on Schedule A-2. Clients of my business are located in several states. Must I report all clients from whom my pro rata share of income is \$10,000 or more on Schedule A-2, Part 3?

A. No, only the clients doing business on a regular basis in your jurisdiction must be disclosed.

Q. I believe I am not required to disclose the names of clients from whom my pro rata share of income is \$10,000 or more on Schedule A-2 because of their right to privacy. Is there an exception for reporting clients' names?

A. Regulation 18740 provides a procedure for requesting an exemption to allow a client's name not to be disclosed if disclosure of the name would violate a legally recognized privilege under California law. This regulation may be obtained from our website at www.fppc.ca.gov. See Reference Pamphlet, page 14.

Q. I am sole owner of a private law practice that is not reportable based on my limited disclosure category. However, some of the sources of income to my law practice are from reportable sources. Do I have to disclose this income?

A. Yes, even though the law practice is not reportable, reportable sources of income to the law practice of \$10,000 or more must be disclosed. This information would be disclosed on Schedule C with a note in the

"comments" section indicating that the business entity is not a reportable investment. The note would be for informational purposes only; it is not a requirement.

Q. I am the sole owner of my business. Where do I disclose my income - on Schedule A-2 or Schedule C?

A. Sources of income to a business in which you have an ownership interest of 10% or greater are disclosed on Schedule A-2. See Reference Pamphlet, page 8, for the definition of "business entity."

Q. My husband is a partner in a four-person firm where all of his business is based on his own billings and collections from various clients. How do I report my community property interest in this business and the income generated in this manner?

A. If your husband's investment in the firm is 10% or greater, disclose 100% of his share of the business on Schedule A-2, Part 1 and 50% of his income on Schedule A-2, Parts 2 and 3. For example, a client of your husband's must be a source of at least \$20,000 during the reporting period before her name is reported.

Q. How do I disclose my spouse's or registered domestic partner's salary?

A. Report the name of the employer as a source of income on Schedule C.

Q. I am a doctor. For purposes of reporting \$10,000 sources of income on Schedule A-2, Part 3, are the patients or their insurance carriers considered sources of income?

A. If your patients exercise sufficient control by selecting you instead of other doctors, then your patients, rather than their insurance carriers, are sources of income to you. See Reference Pamphlet, page 14, for additional information.

Q. I received a loan from my grandfather to purchase my home. Is this loan reportable?

A. No. Loans received from family members are not reportable.

Questions and Answers Continued

Q. I am running for re-election to city council and made a personal loan to my campaign committee. Is this reportable on my Form 700?

A. No, the loan is not reportable on Form 700; however, loan repayments from a campaign committee are reported on Schedule C as income.

Q. Many years ago, I loaned my parents several thousand dollars, which they paid back this year. Do I need to report this loan repayment on my Form 700?

A. No. Payments received on a loan made to a family member are not reportable.

Real Property Disclosure

Q. During this reporting period we switched our principal place of residence into a rental. I have full disclosure and the property is located in my agency's jurisdiction, so it is now reportable. Because I have not reported this property before, do I need to show an "acquired" date?

A. No, you are not required to show an "acquired" date because you previously owned the property. However, you may want to note in the "comments" section that the property was not previously reported because it was used exclusively as your residence. This would be for informational purposes only; it is not a requirement.

Q. My daughter is buying her first home and I am the co-signer on the loan. I won't occupy the home, but my daughter will. The home is located in my agency's jurisdiction. Must I report this property?

A. No. Property occupied by a family member is not reportable as long as you are not receiving rental income or using the property for business purposes.

Gift Disclosure

Q. If I received a reportable gift of two tickets to a concert valued at \$100 each, but gave the tickets to a friend because I could not attend the concert, do I have any reporting obligations?

A. Yes. Since you accepted the gift and exercised discretion and control of the use of the tickets, you must disclose the gift on Schedule D.

Q. Mary and Joe Benson, a married couple, want to give a piece of artwork to a county supervisor. Is each spouse considered a separate source for purposes of the gift limit and disclosure?

A. Yes, each spouse may make a gift valued at the gift limit during a calendar year. For example, during 2014 the gift limit was \$440, so the Bensons may have given the supervisor artwork valued at no more than \$880. The supervisor must identify Joe and Mary Benson as the sources of the gift.

Q. I am a Form 700 filer with full disclosure. Our agency holds a holiday raffle to raise funds for a local charity. I bought \$10 worth of raffle tickets and won a gift basket valued at \$120. The gift basket was donated by Doug Brewer, a citizen in our city. At the same event, I bought raffle tickets for, and won a quilt valued at \$70. The quilt was donated by a coworker. Are these reportable gifts?

A. Because the gift basket was donated by an outside source (not an agency employee), you have received a reportable gift valued at \$110 (the value of the basket less the consideration paid). The source of the gift is Doug Brewer and the agency is disclosed as the intermediary. Because the quilt was donated by an employee of your agency, it is not a reportable gift.

Q. My agency is responsible for disbursing grants. An applicant (501(c)(3) organization) met with agency employees to present its application. At this meeting, the applicant provided food and beverages. Would the food and beverages be considered gifts to the employees? These employees are designated in our agency's conflict of interest code and the applicant is a reportable source of income under the code.

A. Yes. If the value of the food and beverages consumed by any one filer, plus any other gifts received from the same source during the reporting period total \$50 or more, the food and beverages would be reported using the fair market value and would be subject to the gift limit.

Q. I received free admission to an educational conference related to my official duties. Part of the conference fees included a round of golf. Is the value of the golf considered informational material?

A. No. The value of personal benefits, such as golf, attendance at a concert, or sporting event, are gifts subject to reporting and limits.

Instructions – Schedules A-1 and A-2 Investments

“Investment” means a financial interest in any business entity (including a consulting business or other independent contracting business) that is located in, doing business in, planning to do business in, or that has done business during the previous two years in your agency’s jurisdiction in which you, your spouse or registered domestic partner, or your dependent children had a direct, indirect, or beneficial interest totaling \$2,000 or more at any time during the reporting period. See Reference Pamphlet, page 13.

Reportable investments include:

- Stocks, bonds, warrants, and options, including those held in margin or brokerage accounts and managed investment funds (See Reference Pamphlet, page 13.)
- Sole proprietorships
- Your own business or your spouse’s or registered domestic partner’s business (See Reference Pamphlet, page 8, for the definition of “business entity.”)
- Your spouse’s or registered domestic partner’s investments that are legally separate property
- Partnerships (e.g., a law firm or family farm)
- Investments in reportable business entities held in a retirement account (See Reference Pamphlet, page 15.)
- If you, your spouse or registered domestic partner, and dependent children together had a 10% or greater ownership interest in a business entity or trust (including a living trust), you must disclose investments held by the business entity or trust. See Reference Pamphlet, page 15, for more information on disclosing trusts.
- Business trusts

You are not required to disclose:

- Insurance policies, government bonds, diversified mutual funds, certain funds similar to diversified mutual funds (such as exchange traded funds) and investments held in certain retirement accounts. See Reference Pamphlet, page 13, for detailed information. (Regulation 18237)
- Bank accounts, savings accounts, money market accounts and certificates of deposits
- Insurance policies
- Annuities
- Commodities
- Shares in a credit union
- Government bonds (including municipal bonds)
- Retirement accounts invested in non-reportable interests (e.g., insurance policies, mutual funds, or government bonds) (See Reference Pamphlet, page 15.)

- Government defined-benefit pension plans (such as CalPERS and CalSTRS plans)
- Certain interests held in a blind trust (See Reference Pamphlet, page 16.)

Use Schedule A-1 to report ownership of less than 10% (e.g., stock). Schedule C (Income) may also be required if the investment is not a stock or corporate bond. See second example below.

Use Schedule A-2 to report ownership of 10% or greater (e.g., a sole proprietorship).

To Complete Schedule A-1:

Do not attach brokerage or financial statements.

- Disclose the name of the business entity.
- Provide a general description of the business activity of the entity (e.g., pharmaceuticals, computers, automobile manufacturing, or communications).
- Check the box indicating the highest fair market value of your investment during the reporting period. If you are filing a candidate or an assuming office statement, indicate the fair market value on the filing date or the date you took office, respectively.
- Identify the nature of your investment (e.g., stocks, warrants, options, or bonds).
- An acquired or disposed of date is only required if you initially acquired or entirely disposed of the investment interest during the reporting period. The date of a stock dividend reinvestment or partial disposal is not required. Generally, these dates will not apply if you are filing a candidate or an assuming office statement.

Examples:

John Smith holds a state agency position. His conflict of interest code requires full disclosure of investments. John must disclose his stock holdings of \$2,000 or more in any company that does business in California, as well as those stocks held by his spouse or registered domestic partner and dependent children.

Susan Jones is a city council member. She has a 4% interest, worth \$5,000, in a limited partnership located in the city. Susan must disclose the partnership on Schedule A-1 and income of \$500 or more received from the partnership on Schedule C.

Reminders

- Do you know your agency’s jurisdiction?
- Did you hold investments at any time during the period covered by this statement?
- Code filers – your disclosure categories may only require disclosure of specific investments.

Instructions – Schedule A-2

Investments, Income, and Assets of Business Entities/Trusts

Use Schedule A-2 to report investments in a business entity (including a consulting business or other independent contracting business) or trust (including a living trust) in which you, your spouse or registered domestic partner, and your dependent children together had a 10% or greater interest during the reporting period and which is located in, doing business in, planning to do business in, or which has done business during the previous two years in your agency's jurisdiction. See Reference Pamphlet, page 13. A trust located outside your agency's jurisdiction is reportable if it holds assets that are located in or doing business in the jurisdiction. Do not report a trust that contains non-reportable interests. For example, a trust containing only your personal residence not used in whole or in part as a business, your savings account, and some municipal bonds, is not reportable.

Also report on Schedule A-2 investments and real property held by that entity or trust if your pro rata share of the investment or real property interest was \$2,000 or more during the reporting period.

To Complete Schedule A-2:

Part 1. Disclose the name and address of the business entity or trust. If you are reporting an interest in a business entity, check "Business Entity" and complete the box as follows:

- Provide a general description of the business activity of the entity.
- Check the box indicating the highest fair market value of your investment during the reporting period.
- If you initially acquired or entirely disposed of this interest during the reporting period, enter the date acquired or disposed.
- Identify the nature of your investment.
- Disclose the job title or business position you held with the entity, if any (i.e., if you were a director, officer, partner, trustee, employee, or held any position of management). A business position held by your spouse is not reportable.

Part 2. Check the box indicating **your pro rata** share of the **gross** income received **by** the business entity or trust. This amount includes your pro rata share of the **gross** income **from** the business entity or trust, as well as your community property interest in your spouse's or registered domestic partner's share. Gross income is the total amount of income before deducting expenses, losses, or taxes.

Part 3. Disclose the name of each source of income that is located in, doing business in, planning to do business in, or that has done business during the previous two years in your agency's jurisdiction, as follows:

- Disclose each source of income and outstanding loan **to the business entity or trust** identified in Part 1 if your pro rata share of the **gross** income (including your community property interest in your spouse's or registered domestic partner's share) to the business entity or trust from that source was \$10,000 or more during the reporting period. See Reference Pamphlet, page 11, for examples.

Income from governmental sources may be reportable if not considered salary. See Regulation 18232. Loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status are not reportable.

- Disclose each individual or entity that was a source of commission income of \$10,000 or more during the reporting period through the business entity identified in Part 1. See Reference Pamphlet, page 8, for an explanation of commission income.

You may be required to disclose sources of income located outside your jurisdiction. For example, you may have a client who resides outside your jurisdiction who does business on a regular basis with you. Such a client, if a reportable source of \$10,000 or more, must be disclosed.

Mark "None" if you do not have any reportable \$10,000 sources of income to disclose. Using phrases such as "various clients" or "not disclosing sources pursuant to attorney-client privilege" may trigger a request for an amendment to your statement. See Reference Pamphlet, page 14, for details about requesting an exemption from disclosing privileged information.

Part 4. Report any investments or interests in real property held or leased **by the entity or trust** identified in Part 1 if your pro rata share of the interest held was \$2,000 or more during the reporting period. Attach additional schedules or use FPPC's Form 700 Excel spreadsheet if needed.

- Check the applicable box identifying the interest held as real property or an investment.
- If investment, provide the name and description of the business entity.
- If real property, report the precise location (e.g., an assessor's parcel number or address).
- Check the box indicating the highest fair market value of your interest in the real property or investment during the reporting period. (Report the fair market value of the portion of your residence claimed as a tax deduction if you are utilizing your residence for business purposes.)
- Identify the nature of your interest.
- Enter the date acquired or disposed only if you initially acquired or entirely disposed of your interest in the property or investment during the reporting period.

SCHEDULE A-2

Investments, Income, and Assets of Business Entities/Trusts

(Ownership Interest is 10% or Greater)

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name _____

▶ 1. BUSINESS ENTITY OR TRUST

Name _____

Address (Business Address Acceptable) _____

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

<p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	<p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;">____/____/14 ____/____/14</p> <p style="text-align: center;">ACQUIRED DISPOSED</p>
--	--

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION _____

▶ 1. BUSINESS ENTITY OR TRUST

Name _____

Address (Business Address Acceptable) _____

Check one
 Trust, go to 2 Business Entity, complete the box, then go to 2

GENERAL DESCRIPTION OF THIS BUSINESS

<p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$0 - \$1,999 <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	<p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;">____/____/14 ____/____/14</p> <p style="text-align: center;">ACQUIRED DISPOSED</p>
--	--

NATURE OF INVESTMENT
 Partnership Sole Proprietorship _____ Other

YOUR BUSINESS POSITION _____

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

<input type="checkbox"/> \$0 - \$499	<input type="checkbox"/> \$10,001 - \$100,000
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> OVER \$100,000
<input type="checkbox"/> \$1,001 - \$10,000	

▶ 2. IDENTIFY THE GROSS INCOME RECEIVED (INCLUDE YOUR PRO RATA SHARE OF THE GROSS INCOME TO THE ENTITY/TRUST)

<input type="checkbox"/> \$0 - \$499	<input type="checkbox"/> \$10,001 - \$100,000
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> OVER \$100,000
<input type="checkbox"/> \$1,001 - \$10,000	

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 3. LIST THE NAME OF EACH REPORTABLE SINGLE SOURCE OF INCOME OF \$10,000 OR MORE (Attach a separate sheet if necessary.)

None or Names listed below

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____

Description of Business Activity or City or Other Precise Location of Real Property _____

<p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	<p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;">____/____/14 ____/____/14</p> <p style="text-align: center;">ACQUIRED DISPOSED</p>
--	--

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

▶ 4. INVESTMENTS AND INTERESTS IN REAL PROPERTY HELD OR LEASED BY THE BUSINESS ENTITY OR TRUST

Check one box:
 INVESTMENT REAL PROPERTY

Name of Business Entity, if Investment, or Assessor's Parcel Number or Street Address of Real Property _____

Description of Business Activity or City or Other Precise Location of Real Property _____

<p>FAIR MARKET VALUE</p> <input type="checkbox"/> \$2,000 - \$10,000 <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000 <input type="checkbox"/> Over \$1,000,000	<p>IF APPLICABLE, LIST DATE:</p> <p style="text-align: center;">____/____/14 ____/____/14</p> <p style="text-align: center;">ACQUIRED DISPOSED</p>
--	--

NATURE OF INTEREST
 Property Ownership/Deed of Trust Stock Partnership

Leasehold _____ Yrs. remaining Other _____

Check box if additional schedules reporting investments or real property are attached

Comments: _____

Instructions – Schedule B Interests in Real Property

Report interests in real property located in your agency's jurisdiction in which you, your spouse or registered domestic partner, or your dependent children had a direct, indirect, or beneficial interest totaling \$2,000 or more any time during the reporting period. See Reference Pamphlet, page 13.

Interests in real property include:

- An ownership interest (including a beneficial ownership interest)
- A deed of trust, easement, or option to acquire property
- A leasehold interest (See Reference Pamphlet, page 14.)
- A mining lease
- An interest in real property held in a retirement account (See Reference Pamphlet, page 15.)
- An interest in real property held by a business entity or trust in which you, your spouse or registered domestic partner, and your dependent children together had a 10% or greater ownership interest (Report on Schedule A-2.)
- Your spouse's or registered domestic partner's interests in real property that are legally held separately by him or her

You are not required to report:

- A residence, such as a home or vacation cabin, used exclusively as a personal residence (However, a residence in which you rent out a room or for which you claim a business deduction may be reportable. If reportable, report the fair market value of the portion claimed as a tax deduction.)

Please note: A non-reportable residence can still be grounds for a conflict of interest and may be disqualifying.

- Interests in real property held through a blind trust (See Reference Pamphlet, page 16, for exceptions.)

To Complete Schedule B:

- Report the precise location (e.g., an assessor's parcel number or address) of the real property.
- Check the box indicating the fair market value of your interest in the property (regardless of what you owe on the property).
- Enter the date acquired or disposed only if you initially acquired or entirely disposed of your interest in the property during the reporting period.
- Identify the nature of your interest. If it is a leasehold, disclose the number of years remaining on the lease.
- If you received rental income, check the box indicating the gross amount you received.
- If you had a 10% or greater interest in real property and received rental income, list the name of the source(s) if your pro rata share of the gross income from any single

tenant was \$10,000 or more during the reporting period. If you received a total of \$10,000 or more from two or more tenants acting in concert (in most cases, this will apply to married couples), disclose the name of each tenant. Otherwise, mark "None."

- Loans from a private lender that total \$500 or more and are secured by real property may be reportable. **Loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status are not reportable.**

When reporting a loan:

- Provide the name and address of the lender.
- Describe the lender's business activity.
- Disclose the interest rate and term of the loan. For variable interest rate loans, disclose the conditions of the loan (e.g., Prime + 2) or the average interest rate paid during the reporting period. The term of a loan is the total number of months or years given for repayment of the loan at the time the loan was established.
- Check the box indicating the highest balance of the loan during the reporting period.
- Identify a guarantor, if applicable.

If you have more than one reportable loan on a single piece of real property, report the additional loan(s) on Schedule C.

Example:

Joe Nelson is a city planning commissioner. Joe received rental income of \$12,000 during the reporting period from a single tenant who rented property Joe owned in the city's jurisdiction. If Joe had received the \$12,000 from two or more tenants, the tenants' names would not be required as long as no single tenant paid \$10,000 or more. A married couple would be considered a single tenant.

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS 4600 24th Street	
City Henry Wells	
FAIR MARKET VALUE	IF APPLICABLE, LIST DATE:
<input type="checkbox"/> \$2,000-\$10,000	<input type="checkbox"/> / / 14
<input type="checkbox"/> \$10,001-\$100,000	ACQUIRED DISPOSED
<input checked="" type="checkbox"/> \$100,001-\$1,000,000	
<input type="checkbox"/> Over \$1,000,000	
NATURE OF INTEREST	
<input checked="" type="checkbox"/> Ownership/Deed of Trust	<input type="checkbox"/> Easement
<input type="checkbox"/> Leasehold	<input type="checkbox"/> Other
IF RENTAL PROPERTY, GROSS INCOME RECEIVED	
<input type="checkbox"/> \$0 - \$499	<input type="checkbox"/> \$500 - \$1,000
<input checked="" type="checkbox"/> \$10,001 - \$100,000	<input type="checkbox"/> OVER \$100,000
SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.	
<input type="checkbox"/> NONE	
Henry Wells	
NAME OF LENDER*	
Sophia Petroillo	
ADDRESS (Business Address Acceptable)	
2121 Blue Sky Parkway, Sacramento	
BUSINESS ACTIVITY, IF ANY, OF LENDER	
Restaurant Owner	
INTEREST RATE	TERM (Month/Years)
8 % <input type="checkbox"/> NONE	15 Years
HIGHEST BALANCE DURING REPORTING PERIOD	
<input type="checkbox"/> \$500 - \$1,000	<input type="checkbox"/> \$1,001 - \$10,000
<input checked="" type="checkbox"/> \$10,001 - \$100,000	<input type="checkbox"/> OVER \$100,000
<input type="checkbox"/> Guarantor, if applicable	

Reminders

- Income and loans already reported on Schedule B are not also required to be reported on Schedule C.
- Real property already reported on Schedule A-2, Part 4 are not also required to be reported on Schedule B.
- Code filers – do your disclosure categories require disclosure of real property?

SCHEDULE B
Interests in Real Property
 (Including Rental Income)

Name _____

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS _____

CITY _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000 _____/_____/14

\$10,001 - \$100,000 _____/_____/14

\$100,001 - \$1,000,000 ACQUIRED DISPOSED

Over \$1,000,000

NATURE OF INTEREST

Ownership/Deed of Trust Easement

Leasehold _____ _____

Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

None

▶ ASSESSOR'S PARCEL NUMBER OR STREET ADDRESS _____

CITY _____

FAIR MARKET VALUE IF APPLICABLE, LIST DATE:

\$2,000 - \$10,000 _____/_____/14

\$10,001 - \$100,000 _____/_____/14

\$100,001 - \$1,000,000 ACQUIRED DISPOSED

Over \$1,000,000

NATURE OF INTEREST

Ownership/Deed of Trust Easement

Leasehold _____ _____

Yrs. remaining Other

IF RENTAL PROPERTY, GROSS INCOME RECEIVED

\$0 - \$499 \$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

SOURCES OF RENTAL INCOME: If you own a 10% or greater interest, list the name of each tenant that is a single source of income of \$10,000 or more.

None

* You are not required to report loans from commercial lending institutions made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE TERM (Months/Years)

_____% None _____

HIGHEST BALANCE DURING REPORTING PERIOD

\$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

Guarantor, if applicable

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

INTEREST RATE TERM (Months/Years)

_____% None _____

HIGHEST BALANCE DURING REPORTING PERIOD

\$500 - \$1,000 \$1,001 - \$10,000

\$10,001 - \$100,000 OVER \$100,000

Guarantor, if applicable

Comments: _____

Instructions – Schedule C

Income, Loans, & Business Positions

(Income Other Than Gifts and Travel Payments)

Reporting Income:

Report the source and amount of gross income of \$500 or more you received during the reporting period. Gross income is the total amount of income before deducting expenses, losses, or taxes and includes loans other than loans from a commercial lending institution. See Reference Pamphlet, page 11. You must also report the source of income to your spouse or registered domestic partner if your community property share was \$500 or more during the reporting period.

A source of income must be reported only if the source is located in, doing business in, planning to do business in, or has done business during the previous two years in your agency's jurisdiction. See Reference Pamphlet, page 13, for more information about doing business in the jurisdiction. Reportable sources of income may be further limited by your disclosure category located in your agency's conflict of interest code.

Reporting Business Positions:

You must report your job title with each reportable business entity even if you received no income during the reporting period. Use the comments section to indicate that no income was received.

Commonly reportable income and loans include:

- Salary/wages, per diem, and reimbursement for expenses including travel payments provided by your employer
- Community property interest (50%) in your spouse's or registered domestic partner's income - **report the employer's name and all other required information**
- Income from investment interests, such as partnerships, reported on Schedule A-1
- Commission income not required to be reported on Schedule A-2 (See Reference Pamphlet, page 8.)
- Gross income from any sale, including the sale of a house or car (Report your pro rata share of the total sale price.)
- Rental income not required to be reported on Schedule B
- Prizes or awards not disclosed as gifts
- Payments received on loans you made to others, including loan repayments from a campaign committee (including a candidate's own campaign committee)
- An honorarium received prior to becoming a public official (See Reference Pamphlet, page 10, concerning your ability to receive future honoraria.)
- Incentive compensation (See Reference Pamphlet, page 12.)

Reminders

- Code filers – your disclosure categories may not require disclosure of all sources of income.
- If you or your spouse or registered domestic partner are self-employed, report the business entity on Schedule A-2.
- Do not disclose on Schedule C income, loans, or business positions already reported on Schedules A-2 or B.

You are **not** required to report:

- Salary, reimbursement for expenses or per diem, or social security, disability, or other similar benefit payments received by you or your spouse or registered domestic partner from a federal, state, or local government agency.
- Stock dividends and income from the sale of stock unless the source can be identified.
- Income from a PERS retirement account.

See Reference Pamphlet, page 11, for more exceptions to income reporting.

To Complete Schedule C:

Part 1. Income Received/Business Position Disclosure

- Disclose the name and address of each source of income or each business entity with which you held a business position.
- Provide a general description of the business activity if the source is a business entity.
- Check the box indicating the amount of gross income received.
- Identify the consideration for which the income was received.
- For income from commission sales, check the box indicating the gross income received and list the name of each source of commission income of \$10,000 or more. See Reference Pamphlet, page 8. **Note: If you receive commission income on a regular basis or have an ownership interest of 10% or more, you must disclose the business entity and the income on Schedule A-2.**
- Disclose the job title or business position, if any, that you held with the business entity, even if you did not receive income during the reporting period.

Part 2. Loans Received or Outstanding During the Reporting Period

- Provide the name and address of the lender.
- Provide a general description of the business activity if the lender is a business entity.
- Check the box indicating the highest balance of the loan during the reporting period.
- Disclose the interest rate and the term of the loan.
 - For variable interest rate loans, disclose the conditions of the loan (e.g., Prime + 2) or the average interest rate paid during the reporting period.
 - The term of the loan is the total number of months or years given for repayment of the loan at the time the loan was entered into.
- Identify the security, if any, for the loan.

SCHEDULE C

Income, Loans, & Business Positions

(Other than Gifts and Travel Payments)

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name _____

▶ 1. INCOME RECEIVED	▶ 1. INCOME RECEIVED
<p>NAME OF SOURCE OF INCOME _____</p> <p>ADDRESS <i>(Business Address Acceptable)</i> _____</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE _____</p> <p>YOUR BUSINESS POSITION _____</p> <p>GROSS INCOME RECEIVED</p> <p><input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000</p> <p><input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000</p> <p>CONSIDERATION FOR WHICH INCOME WAS RECEIVED</p> <p><input type="checkbox"/> Salary <input type="checkbox"/> Spouse's or registered domestic partner's income <i>(For self-employed use Schedule A-2.)</i></p> <p><input type="checkbox"/> Partnership <i>(Less than 10% ownership. For 10% or greater use Schedule A-2.)</i></p> <p><input type="checkbox"/> Sale of _____ <i>(Real property, car, boat, etc.)</i></p> <p><input type="checkbox"/> Loan repayment</p> <p><input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, <i>list each source of \$10,000 or more</i></p> <p>_____ <i>(Describe)</i></p> <p><input type="checkbox"/> Other _____ <i>(Describe)</i></p>	<p>NAME OF SOURCE OF INCOME _____</p> <p>ADDRESS <i>(Business Address Acceptable)</i> _____</p> <p>BUSINESS ACTIVITY, IF ANY, OF SOURCE _____</p> <p>YOUR BUSINESS POSITION _____</p> <p>GROSS INCOME RECEIVED</p> <p><input type="checkbox"/> \$500 - \$1,000 <input type="checkbox"/> \$1,001 - \$10,000</p> <p><input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> OVER \$100,000</p> <p>CONSIDERATION FOR WHICH INCOME WAS RECEIVED</p> <p><input type="checkbox"/> Salary <input type="checkbox"/> Spouse's or registered domestic partner's income <i>(For self-employed use Schedule A-2.)</i></p> <p><input type="checkbox"/> Partnership <i>(Less than 10% ownership. For 10% or greater use Schedule A-2.)</i></p> <p><input type="checkbox"/> Sale of _____ <i>(Real property, car, boat, etc.)</i></p> <p><input type="checkbox"/> Loan repayment</p> <p><input type="checkbox"/> Commission or <input type="checkbox"/> Rental Income, <i>list each source of \$10,000 or more</i></p> <p>_____ <i>(Describe)</i></p> <p><input type="checkbox"/> Other _____ <i>(Describe)</i></p>

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

<p>NAME OF LENDER* _____</p> <p>ADDRESS <i>(Business Address Acceptable)</i> _____</p> <p>BUSINESS ACTIVITY, IF ANY, OF LENDER _____</p> <p>HIGHEST BALANCE DURING REPORTING PERIOD</p> <p><input type="checkbox"/> \$500 - \$1,000</p> <p><input type="checkbox"/> \$1,001 - \$10,000</p> <p><input type="checkbox"/> \$10,001 - \$100,000</p> <p><input type="checkbox"/> OVER \$100,000</p>	<p>INTEREST RATE TERM (Months/Years)</p> <p>_____ % <input type="checkbox"/> None _____</p> <p>SECURITY FOR LOAN</p> <p><input type="checkbox"/> None <input type="checkbox"/> Personal residence</p> <p><input type="checkbox"/> Real Property _____ <i>Street address</i></p> <p>_____ <i>City</i></p> <p><input type="checkbox"/> Guarantor _____</p> <p><input type="checkbox"/> Other _____ <i>(Describe)</i></p>
--	--

Comments: _____

Instructions – Schedule D Income – Gifts

A gift is anything of value for which you have not provided equal or greater consideration to the donor. A gift is reportable if its fair market value is \$50 or more. In addition, multiple gifts totaling \$50 or more received during the reporting period from a single source must be reported.

It is the acceptance of a gift, not the ultimate use to which it is put, that imposes your reporting obligation. Except as noted below, you must report a gift even if you never used it or if you gave it away to another person.

If the exact amount of a gift is unknown, you must make a good faith estimate of the item's fair market value. Listing the value of a gift as "over \$50" or "value unknown" is not adequate disclosure. In addition, if you received a gift through an intermediary, you must disclose the name, address, and business activity of both the donor and the intermediary. You may indicate an intermediary either in the "source" field after the name or in the "comments" section at the bottom of Schedule D.

Commonly reportable gifts include:

- Tickets/passes to sporting or entertainment events
- Tickets/passes to amusement parks
- Parking passes not used for official agency business
- Food, beverages, and accommodations, including those provided in direct connection with your attendance at a convention, conference, meeting, social event, meal, or like gathering
- Rebates/discounts not made in the regular course of business to members of the public without regard to official status
- Wedding gifts (See Reference Pamphlet, page 16)
- An honorarium received prior to assuming office (You may report an honorarium as income on Schedule C, rather than as a gift on Schedule D, if you provided services of equal or greater value than the payment received. See Reference Pamphlet, page 10, regarding your ability to receive future honoraria.)
- Transportation and lodging (See Schedule E.)
- Forgiveness of a loan received by you

You are not required to disclose:

- Gifts that were not used and that, within 30 days after receipt, were returned to the donor or delivered to a charitable organization or government agency without

Reminders

- Gifts from a single source are subject to a \$440 limit during 2014. See Reference Pamphlet, page 10.
- Code filers – you only need to report gifts from reportable sources.

Gift Tracking Mobile Application

- FPPC has created a gift tracking app for mobile devices that helps filers track gifts and provides a quick and easy way to upload the information to the Form 700. Visit FPPC's website to download the app.

being claimed by you as a charitable contribution for tax purposes

- Gifts from your spouse or registered domestic partner, child, parent, grandparent, grandchild, brother, sister, and certain other family members (See Regulation 18942 for a complete list.). The exception does not apply if the donor was acting as an agent or intermediary for a reportable source who was the true donor.
- Gifts of similar value exchanged between you and an individual, other than a lobbyist registered to lobby your state agency, on holidays, birthdays, or similar occasions
- Gifts of informational material provided to assist you in the performance of your official duties (e.g., books, pamphlets, reports, calendars, periodicals, or educational seminars)
- A monetary bequest or inheritance (However, inherited investments or real property may be reportable on other schedules.)
- Personalized plaques or trophies with an individual value of less than \$250
- Campaign contributions
- Up to two tickets, for your own use, to attend a fundraiser for a campaign committee or candidate, or to a fundraiser for an organization exempt from taxation under Section 501(c)(3) of the Internal Revenue Code. The ticket must be received from the organization or committee holding the fundraiser.
- Gifts given to members of your immediate family if the source has an established relationship with the family member and there is no evidence to suggest the donor had a purpose to influence you. (See Regulation 18943.)
- Free admission, food, and nominal items (such as a pen, pencil, mouse pad, note pad or similar item) available to all attendees, at the event at which the official makes a speech (as defined in Regulation 18950(b)(2)), so long as the admission is provided by the person who organizes the event.
- Any other payment not identified above, that would otherwise meet the definition of gift, where the payment is made by an individual who is not a lobbyist registered to lobby the official's state agency, where it is clear that the gift was made because of an existing personal or business relationship unrelated to the official's position and there is no evidence whatsoever at the time the gift is made to suggest the donor had a purpose to influence you.

To Complete Schedule D:

- Disclose the full name (not an acronym), address, and, if a business entity, the business activity of the source.
- Provide the date (month, day, and year) of receipt, and disclose the fair market value and description of the gift.

SCHEDULE D Income – Gifts

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____
___/___/___	\$ _____	_____

Comments: _____

Instructions – Schedule E Travel Payments, Advances, and Reimbursements

Travel payments reportable on Schedule E include advances and reimbursements for travel and related expenses, including lodging and meals.

Gifts of travel may be subject to the gift limit. In addition, certain travel payments are reportable gifts, but are not subject to the gift limit. To avoid possible misinterpretation or the perception that you have received a gift in excess of the gift limit, you may wish to provide a specific description of the purpose of your travel. See the FPPC fact sheet entitled "Limitations and Restrictions on Gifts, Honoraria, Travel, and Loans" at www.fppc.ca.gov.

You are **not** required to disclose:

- Travel payments received from any state, local, or federal government agency for which you provided services equal or greater in value than the payments received, such as reimbursement for travel on agency business from your government agency employer.
- A payment for travel from another local, state, or federal government agency and related per diem expenses when the travel is for education, training or other inter-agency programs or purposes.
- Travel payments received from your employer in the normal course of your employment that are included in the income reported on Schedule C
- A travel payment that was received from a non-profit entity exempt from taxation under Internal Revenue Code Section 501(c)(3) for which you provided equal or greater consideration, such as reimbursement for travel on business for a 501(c)(3) organization for which you are a board member.

Note: Effective January, 2014, certain travel payments may not be reportable if reported on Form 801 by your agency.

To Complete Schedule E:

- Disclose the full name (not an acronym) and address of the source of the travel payment.
- Identify the business activity if the source is a business entity.
- Check the box to identify the payment as a gift or income, report the amount, and disclose the date(s).
 - **Travel payments are gifts** if you did not provide services that were equal to or greater in value than the payments received. You must disclose gifts totaling \$50 or more from a single source during the period covered by the statement.

When reporting travel payments that are gifts, you must provide a description of the gift and the **date(s)** received.

- **Travel payments are income** if you provided services that were equal to or greater in value than the payments received. You must disclose income totaling \$500 or more from a single source during the period covered by the statement. You have the burden of proving the payments are income rather than gifts. When reporting travel payments as income, you must describe the services you provided in exchange for the payment. You are not required to disclose the date(s) for travel payments that are income.

Example:

City council member Rick Chandler is the chairman of a 501 (c)(6) trade association and the association pays for Rick's travel to attend its meetings. Because Rick is deemed to be providing equal or greater consideration for the travel payment by virtue of serving on the board, this payment may be reported as income. Payments for Rick to attend other events for which Rick is not providing services are likely considered gifts.

NAME OF SOURCE	
Health Services Trade Association	
ADDRESS (Business Address Acceptable)	
1230 K Street, Ste. 610	
CITY AND STATE	
Sacramento, CA	
BUSINESS ACTIVITY, IF ANY, OF SOURCE	<input type="checkbox"/> 501 (c)(3)
Association of Healthcare Workers	
DATE(S):	AMT: \$ 588.00
(if applicable)	
TYPE OF PAYMENT: (must check one) <input type="checkbox"/> Gift <input checked="" type="checkbox"/> Income	
DESCRIPTION: Travel reimbursement for board meeting	

Name _____

SCHEDULE E
Income – Gifts
Travel Payments, Advances,
and Reimbursements

- Mark either the gift or income box.
- Mark the “501(c)(3)” box for a travel payment received from a nonprofit 501(c)(3) organization or the “Speech” box if you made a speech or participated in a panel. These payments are not subject to the \$440 gift limit, but may result in a disqualifying conflict of interest.

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____

(If gift)

TYPE OF PAYMENT: (must check one) Gift Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____

(If gift)

TYPE OF PAYMENT: (must check one) Gift Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____

(If gift)

TYPE OF PAYMENT: (must check one) Gift Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

▶ NAME OF SOURCE *(Not an Acronym)*

ADDRESS *(Business Address Acceptable)*

CITY AND STATE

501 (c)(3) or DESCRIBE BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE(S): ____/____/____ - ____/____/____ AMT: \$_____

(If gift)

TYPE OF PAYMENT: (must check one) Gift Income

Made a Speech/Participated in a Panel

Other - Provide Description _____

Comments: _____



WHAT AB 392 DOES

Existing law, the California San Diego River Conservancy Act, establishes the San Diego River Conservancy in the Natural Resources Agency, and prescribes the territory, membership and functions and duties of the Conservancy with regard to, among other things, the acquisition, protection and management of public lands within the San Diego River area, as defined. Existing law provides that the Act will remain in effect until January 1, 2020.

AB 392 would delete Section 32661 of the Public Resources Code - the January 1, 2020 repeal date - thereby extending the operation of the Act indefinitely.

BACKGROUND

AB 2156 by Assemblymember Christine Kehoe (Chapter 574, Statutes of 2002) established the San Diego River Conservancy in law, with a January 1, 2010 sunset date.

AB 142 (Kehoe, Chapter 92, Statutes of 2003) required that an acquisition of real property or an interest in real property by the Conservancy be acquired pursuant to the Property Acquisition Law.

SB 419 (Kehoe, Chapter 646, Statutes of 2007) revised the description of the San Diego river area to include its tributaries and historic flumes, and included the protection of historic and cultural resources in the Conservancy's responsibilities. The Board was expanded from 9 to 11 members by adding the California Director of Parks and Recreation and one member of the San Diego County Board of Supervisors. SB 419 reiterated that the Conservancy has no authority to levy a tax, regulate land use or exercise the power of eminent domain.

SB 1428 (Kehoe Chapter 406, Statues of 2008) extended the sunset date to January 1, 2020.

The San Diego River is a natural, historic, cultural and recreational resource in the heart of San Diego. From its headwaters near Julian in east San Diego County, it runs 52 miles before it empties into the Pacific at Ocean Beach.

The river has been subjected to intense development in some parts, running through one of San Diego's most populated communities, and is in need of restoration, conservation and enhancement all along its length. The watershed presents excellent opportunities for recreation, scientific research, education and cultural activities that are of value to California and the nation, especially in the historic preservation of the first aqueduct in the United States.

Re-establishing the cultural and historic connections between the San Diego River, Old Town San Diego State Park, the original Military Presidio and the Kumeyaay Nation that inhabited the area 11,000 years ago, allows the public to better understand the state's early history.

The Conservancy watershed spans 440 square miles, includes 6 major reservoirs, 4 cities, a large area of unincorporated county lands, National Forest and Indian Reservations, with 700,000 people living within its area of influence, and an additional 2 million people in adjacent communities.

Regional and statewide significance

The river's watershed provides the major water storage facilities for imported and local water sources for a large portion of the region's population. The river's public resources produce an economic benefit to the region and state through its watershed, both active and passive recreational opportunities, habitat conservation, and sustainable enhancements to the environment and economic development opportunities.

Projects

The San Diego River Conservancy has successfully implemented \$20 million in state funds while leveraging millions more in federal, local and private funds. The Conservancy is multi-faceted, covering several natural resource program areas outlined in its strategic plan which is derived from its legislative purpose: improving water quality, habitat preservation, research, flood conveyance, open space preservation, recreational opportunities and cultural and historic preservation.

In its 12-year history, the Conservancy has overseen over 100 acres of river restoration, the construction and/or renovation of approximately 15 miles of a public trail along the river that is well used by people of all ages, and the acquisition of over 200 acres of land from willing sellers.

The Conservancy holds all necessary watershed-wide permits including serving as the lead agency for California Environmental Quality Act (CEQA) certification for riparian restoration within the watershed.

Future Projects

The Conservancy is not funded by the state's General Fund, receiving Environmental License Plate Funds for operations. The Conservancy has a history of clean audits with a successful track record of both receiving and administering grants and contracts for projects within the watershed.

The Proposition 1 state water bond approved by voters in November 2014 includes \$17 million for projects in the River Conservancy's watershed, with projects estimated to take 5 to 10 years to complete. Grantees must be monitored for compliance with grant conditions on capital projects for up to 25 years.

Future expenditures funded by Conservancy using Proposition 1 and other leveraged funding sources will include projects that improve water quality, enhance water supply, manage flood control, and the protection and management of wetlands and watershed areas.

FOR MORE INFORMATION

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SUPPORT

Speaker Toni G. Atkins (Sponsor)

Opposition

There is no opposition

The Conservancy would like to offer our appreciation and a **BIG THANK** the San Diego River Park Foundation for cleaning up trash and debris from Sycamore Creek in Santee. (2/18/15)



The team of seven volunteers worked tirelessly to remove large items such as a metal shelving unit, washing machine motor, hood of a car, and shopping cart. The debris collected today totaled an astonishing 2,740 lbs!



Volunteers clean-up the San Diego River Estuary

Posted: Jan 19, 2015 2:05 PM PST
Updated: Jan 19, 2015 2:10 PM PST



January 19, 2015, SAN DIEGO (CBS 8) - The San Diego River Estuary is now a whole lot cleaner after dozens of volunteers lent a helping hand Sunday. Volunteers scattered the water in kayaks and along the shoreline to help remove trash that's washed into the area from recent rains.

The estuary is home to many different species of birds, including some that are endangered, so Sunday's efforts improved their habitat.

CBS News 8 spoke with some volunteers who told us what sparked them to get involved. "It feels great because I know that we're helping the environment," said Kendall Lobo. "Our school has a lot of promoting community service and when we came to the school we thought it would be a good idea to help out," explained Kendal Romer.

The River Park Foundation and The City of San Diego partnered to organize the clean-up.



Trees find new, permanent homes in Santee

By [Karen Pearlman](#) 3:12 p.m. Feb. 17, 2015



SANTEE — As they did in January 2014, dozens of employees of Takeda California, families and friends planted more than 2,000 thousand trees and shrubs in Walker Preserve in Santee.

On Monday morning, the company, the local arm of the biotechnology firm, planted a mix of trees native to California, ones naturally found along the San Diego River, along a one-mile stretch of the preserve.

The company again attempted to plant 20 times more trees than it used in paper during the previous work year.

More than 100 people took part of the tree planting near 9393 Magnolia Ave.

The efforts benefited the San Diego River Park Foundation and the Walker Preserve. Volunteers planted native coast live oak, scrub oak, Engelmann oak, laurel sumac, cottonwood and sycamore as well as lemonade berry and elderberry shrubs on land previously used for sand mining.

“Takeda California is demonstrating their business and environmental leadership by donating and planting these trees to benefit the river’s ecosystem and the community,” said Rob Hutsel, executive director of The San Diego River Park Foundation. “It’s a gift which will benefit this and future generations.”

Takeda California last year planted 2,000 trees at Walker Preserve. Construction in the preserve, which includes a 1.3-mile long, multiuse trail along the river with interpretive signs and other public amenities, began in October 2014, but has been in Santee’s plans since 1984.

Santee acquired the preserve in 2012 in part to preserve wildlife in the area and to fill a gap in the San Diego River Trail. The preserve is expected to be finished within the next couple of months.

In 2011, Takeda California helped the San Diego River Park Foundation conserve the 156-acre Peutz Creek Preserve by way of a \$1,000 donation and an employee matching gift of \$1,000.

The nonprofit San Diego River Park Foundation works toward bettering the San Diego River, and is working to create a 52-mile river parkway from the mountains near Julian to Ocean Beach.



Qualcomm site ideal for innovative development

By Wayne Rafflesberger 5 p.m. Feb. 21, 2015

Game Changer

Charger and Raider fans were stunned by the recent announcement that their home teams may move away and share a stadium in Carson. Mayor Kevin Faulconer named a new stadium task force a few weeks ago and the Chargers had indicated they wanted a San Diego solution so the proposal to build a stadium near L.A. caught many off guard. Here a land use expert gives his perspective on the issue.

The news this week about the Chargers and the confused, awkward process of searching for a stadium solution left the bitter taste of lemons in many San Diegans' mouths.

Suddenly, the prospect of the Chargers leaving for Los Angeles seems all too real. Should that unfortunately happen, we will actually have a unique opportunity to renew our city. We will be able to revitalize the Qualcomm site in a way that will truly make lemonade of those lemons.

Having rooted for my hometown team since they arrived in 1961, I am certainly not rooting for them to leave. But I'm unwilling to witness my hometown held hostage by the ransom demands of a new NFL stadium. Losing the Chargers would hurt civic pride initially, but an NFL franchise doesn't define a world-class city. Does anyone consider Buffalo and Cleveland world-class?

The proposed downtown stadium site will actually benefit if we remove the cloud of a stadium. A stadium there cannot work from an urban planning standpoint and would hinder, not facilitate, the renewal already happening there. Virtually every block surrounding the stadium footprint is already planned, developed, or soon will be.

The Qualcomm site, absent the current stadium, affords an enormous parcel large enough for serious, innovative redevelopment. The stadium would have to come down, of course, because the current millions in annual maintenance costs can't be offset by the paltry rent paid by the remaining tenant, the SDSU Aztecs.

Central to any redevelopment would be the San Diego River. For decades, various renewal plans have shown a revitalized river stretching from the ocean to Mission Gorge; bikeable, walkable, and accessible. A Qualcomm river park could be the catalyst to undoing years of environmental damage and bad urban planning throughout Mission Valley.

The site could also become the multimodal transit center for all of central San Diego. Integrated trolleys, bus rapid transit lines, park and ride lots, and bike paths serving every direction are possible.

Mixed use is the obvious choice for any new development. Affordable and market rate housing, retail, commercial, and recreational opportunities can be blended into the kind of smart growth project so often talked about, but rarely implemented locally.

One proposal already floated is the idea of an adjunct campus for SDSU, blending student and faculty housing with new classroom facilities. But why limit it to just SDSU? Why not include UCSD and other schools into a multiversity academic experience of the future?

A new, non-NFL stadium could even be part of the mix. Senator Marty Block has suggested a 35,000-seat stadium accommodating major league soccer as well as the Aztecs for football. That is probably affordable; just a few years ago, Stanford University built a state-of-the-art 50,000-seat stadium for under \$100 million.

As a way to pay for the revitalization, an infrastructure financing district might be created. After eliminating redevelopment, the state Legislature allowed such districts as a limited means of paying for urban renewal. Properly designed, the Qualcomm site should qualify for such a district.

So, let's all hope that a reasonable solution to the stadium conundrum can be found and the Chargers remain in San Diego. But if not, I like a little sweetener with my lemonade.

Raffesberger has worked as an adjunct professor in Urban Studies and Planning at UCSD, director of CCDC, San Diego City Council policy aide, community planner and land use attorney.

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: 11

SUBJECT: **NEXT MEETING**

The next scheduled Board Meeting will be held Thursday,
May 14, 2015, 2:00-4:00 p.m.

State of California
San Diego River Conservancy

Meeting of March 12, 2015

ITEM: 12

SUBJECT: ADJOURNMENT